

## City of London Voting Record November 2018

### SCHRODER JAPAN GROWTH FUND PLC

Security	G7856X100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	01-Nov-2018
ISIN	GB0008022849	Agenda	709999671 - Management
Record Date		Holding Recon Date	30-Oct-2018
City / Country	LONDON / United Kingdom	Vote Deadline Date	26-Oct-2018
SEDOL(s)	0802284 - B02T8L1	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	ANNUAL REPORT AND ACCOUNTS	Management	For	For
2	FINAL DIVIDEND	Management	For	For
3	REMUNERATION REPORT	Management	For	For
4	ELECTION OF BELINDA RICHARDS	Management	For	For
5	RE-ELECTION OF ANJA BALFOUR	Management	For	For
6	RE-ELECTION OF ALAN GIBBS	Management	For	For
7	RE-ELECTION OF RICHARD GREER	Management	For	For
8	RE-APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS AUDITORS	Management	For	For
9	AUTHORITY TO DETERMINE AUDITORS' REMUNERATION	Management	For	For
10	AUTHORITY TO ALLOT SHARES	Management	For	For
11	DISAPPLICATION OF PRE-EMPTION RIGHTS	Management	For	For
12	AUTHORITY TO PURCHASE OWN SHARES	Management	For	For

## City of London Voting Record November 2018

### NB PRIVATE EQUITY PARTNERS LTD

Security	G64033106	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	05-Nov-2018
ISIN	GG00B1ZBD492	Agenda	710028398 - Management
Record Date		Holding Recon Date	01-Nov-2018
City / Country	ST / Guernsey PETER PORT	Vote Deadline Date	30-Oct-2018
SEDOL(s)	B1ZBD49 - B23GWD5 - B28ZZX8 - B4YY2S7	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	THAT THE AUDITED FINANCIAL STATEMENTS, THE DIRECTORS' REPORT, AND THE AUDITORS' REPORT FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2017 BE RECEIVED AND CONSIDERED	Management	For	For
2	THAT THE DIRECTORS' REMUNERATION FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2017 AS PROVIDED IN THE DIRECTORS' REPORT BE APPROVED	Management	For	For
3	THAT IN ACCORDANCE WITH ARTICLE 21.1, THE REMUNERATION OF THE DIRECTORS FROM 1 OCTOBER 2018 IN AGGREGATE SHALL BE NO MORE THAN GBP 300,000 ANNUALLY AND SHALL BE AS FOLLOWS: I. CHAIRMAN - GBP 70,000 II. AUDIT CHAIRMAN - GBP 60,000 III. INDEPENDENT NON-EXECUTIVE DIRECTOR - GBP 50,000	Management	For	For
4	THAT TALMAI MORGAN AS A DIRECTOR OF THE COMPANY, RETIRING IN ACCORDANCE WITH THE AIC CODE AND ARTICLE 26.2 OF THE COMPANY'S ARTICLES OF INCORPORATION BE RE-ELECTED	Management	For	For
5	THAT JOHN FALLA AS A DIRECTOR OF THE COMPANY, RETIRING IN ACCORDANCE WITH THE AIC CODE BE RE-ELECTED	Management	For	For
6	THAT TRUDI CLARK AS A DIRECTOR OF THE COMPANY, RETIRING IN ACCORDANCE WITH THE AIC CODE BE RE-ELECTED	Management	For	For
7	THAT PETER VON LEHE AS A DIRECTOR OF THE COMPANY, RETIRING IN ACCORDANCE WITH THE AIC CODE, ARTICLE 6.2 OF THE COMPANY'S ARTICLES OF INCORPORATION AND LISTING RULES 15.2.12A(1) AND 15.2.13A BE RE-ELECTED	Management	For	For
8	THAT KPMG CHANNEL ISLANDS LIMITED, WHO HAVE INDICATED THEIR WILLINGNESS TO CONTINUE IN OFFICE, BE RE-APPOINTED AS AUDITORS OF THE COMPANY AND TO HOLD OFFICE FROM THE CONCLUSION OF THIS AGM UNTIL THE CONCLUSION OF THE NEXT AGM TO BE HELD IN 2019	Management	For	For

## City of London Voting Record November 2018

9	<p>THAT THE DIRECTORS BE AUTHORISED TO DETERMINE THE REMUNERATION OF KPMG CHANNEL ISLANDS LIMITED</p>	Management	For	For
10	<p>THAT THE INTERIM DIVIDEND OF USD0.25 CENTS PER SHARE IN RESPECT OF THE PERIOD 1 JULY 2017 TO 31 DECEMBER 2017 AND THE INTERIM DIVIDEND OF USD0.28 CENTS PER SHARE IN RESPECT OF THE PERIOD 1 JANUARY 2018 TO 30 JUNE 2018, DECLARED BY THE COMPANY, BE RATIFIED AND APPROVED</p>	Management	For	For
11	<p>THAT THE COMPANY BE AND IS HEREBY AUTHORISED, IN ACCORDANCE WITH SECTION 315 OF THE COMPANIES (GUERNSEY) LAW, 2008, AS AMENDED (THE "COMPANIES LAW"), SUBJECT TO THE LISTING RULES MADE BY THE UK LISTING AUTHORITY AND ALL OTHER APPLICABLE LEGISLATION AND REGULATIONS, TO MAKE MARKET ACQUISITIONS (WITHIN THE MEANING OF SECTION 316 OF THE COMPANIES LAW) OF ITS OWN CLASS A SHARES (AS DEFINED IN THE COMPANY'S ARTICLES) WHICH MAY BE CANCELLED OR HELD AS TREASURY SHARES, PROVIDED THAT: (A) THE MAXIMUM NUMBER OF CLASS A SHARES AUTHORISED TO BE PURCHASED UNDER THIS AUTHORITY SHALL BE A NUMBER EQUAL TO 14.99 PER CENT. OF THE CLASS A SHARES IN ISSUE (EXCLUDING CLASS A SHARES HELD IN TREASURY) AS AT THE LATEST PRACTICABLE DATE PRIOR TO THE DATE OF THIS NOTICE OF AGM; (B) THE MINIMUM PRICE (EXCLUSIVE OF EXPENSES) WHICH MAY BE PAID FOR A CLASS A SHARE IS USD 0.01; (C) THE MAXIMUM PRICE (EXCLUSIVE OF EXPENSES) WHICH MAY BE PAID FOR A CLASS A SHARE SHALL BE NOT MORE THAN AN AMOUNT EQUAL TO THE HIGHER OF (A) 5 PER CENT. ABOVE THE AVERAGE MID-MARKET VALUE OF THE CLASS A SHARES ON THE REGULATED MARKET WHERE THE REPURCHASE IS CARRIED OUT FOR THE FIVE BUSINESS DAYS PRIOR TO THE DAY THE PURCHASE IS MADE; AND (B) THE HIGHER OF (I) THE PRICE OF THE LAST INDEPENDENT TRADE; AND (II) THE HIGHEST CURRENT INDEPENDENT BID PRICE, IN EACH CASE ON THE REGULATED MARKET WHERE THE PURCHASE IS CARRIED OUT, SUCH AUTHORITY TO EXPIRE ON THE DATE WHICH IS 15 MONTHS FROM THE DATE OF PASSING OF THIS RESOLUTION OR, IF EARLIER, AT THE END OF THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2019 (UNLESS PREVIOUSLY RENEWED, REVOKED OR VARIED BY THE COMPANY BY SPECIAL RESOLUTION) SAVE THAT THE COMPANY MAY MAKE A CONTRACT TO</p>	Management	For	For

## City of London Voting Record November 2018

	ACQUIRE CLASS A SHARES UNDER THIS AUTHORITY BEFORE ITS EXPIRY WHICH WILL OR MAY BE EXECUTED WHOLLY OR PARTLY AFTER ITS EXPIRATION AND THE COMPANY MAY MAKE AN ACQUISITION OF CLASS A SHARES PURSUANT TO SUCH A CONTRACT			
12	THAT THE DIRECTORS BE AND ARE HEREBY AUTHORISED, PURSUANT TO ARTICLE 5.7 OF THE ARTICLES, TO ALLOT AND ISSUE OR MAKE OFFERS OR AGREEMENTS TO ALLOT AND ISSUE, GRANT RIGHTS TO SUBSCRIBE FOR, OR TO CONVERT ANY SECURITIES INTO, CLASS A SHARES (INCLUDING BY WAY OF SALE OF CLASS A SHARES FROM TREASURY) ("RELEVANT SECURITIES") FOR CASH UP TO THE AGGREGATE NUMBER OF CLASS A SHARES AS REPRESENT 9.99 PER CENT. OF THE CLASS A SHARES IN ISSUE AS AT THE LATEST PRACTICABLE DATE PRIOR TO THE DATE OF THIS NOTICE OF AGM (EXCLUDING ANY CLASS A SHARES HELD IN TREASURY AND AFTER GIVING EFFECT TO THE EXERCISE OF ANY WARRANTS, OPTIONS OR OTHER CONVERTIBLE SECURITIES OUTSTANDING AS AT SUCH DATE) AS IF ARTICLE 5.2 OF THE ARTICLES DID NOT APPLY TO ANY SUCH ALLOTMENT AND ISSUE, SUCH AUTHORITY TO EXPIRE ON THE DATE WHICH IS 15 MONTHS FROM THE DATE OF THE PASSING OF THIS RESOLUTION OR, IF EARLIER, AT THE END OF THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2019 (UNLESS PREVIOUSLY RENEWED, REVOKED OR VARIED BY THE COMPANY BY A SPECIAL RESOLUTION) SAVE THAT THE COMPANY MAY, BEFORE SUCH EXPIRY, MAKE AN OFFER OR AGREEMENT WHICH WOULD OR MIGHT REQUIRE RELEVANT SECURITIES TO BE ALLOTTED AND ISSUED AFTER SUCH EXPIRY AND THE DIRECTORS MAY ALLOT AND ISSUE RELEVANT SECURITIES IN PURSUANCE OF SUCH AN OFFER OR AGREEMENT AS IF THE AUTHORITY CONFERRED BY THIS RESOLUTION HAD NOT EXPIRED	Management	For	For
13	THAT THE REGULATIONS CONTAINED IN THE DOCUMENT PRODUCED TO THE AGM ENTITLED "ARTICLES OF INCORPORATION OF NB PRIVATE EQUITY PARTNERS LIMITED" AND, FOR THE PURPOSES OF IDENTIFICATION, INITIALLED BY THE CHAIRMAN BE AND ARE HEREBY APPROVED AND ADOPTED AS THE NEW ARTICLES OF THE COMPANY IN SUBSTITUTION FOR, AND TO THE EXCLUSION IN THEIR ENTIRETY OF, THE EXISTING ARTICLES OF THE COMPANY	Management	For	For

## City of London Voting Record November 2018

### GULF INVESTMENT FUND PLC

Security	G4R78A100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	07-Nov-2018
ISIN	IM00B1Z40704	Agenda	709995902 - Management
Record Date		Holding Recon Date	01-Nov-2018
City / Country	DOUGLA / Isle of Man S	Vote Deadline Date	01-Nov-2018
SEDOL(s)	B1Z4070 - B2B3TW6 - B2NZBR9	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	THAT THE REPORT OF THE INVESTMENT MANAGER AND INVESTMENT ADVISER REPORT OF THE DIRECTORS, DIRECTORS REMUNERATION REPORT AUDITORS' REPORT AND THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR ENDED 30 JUNE 2018 BE APPROVED	Management	For	For
2	THAT A FINAL DIVIDEND OF 3.0 CENTS PER ORDINARY SHARE BE DECLARED PAYABLE WITH RESPECT TO THE YEAR ENDED 30 JUNE 2018	Management	For	For
3	THAT KPMG AUDIT LLC ISLE OF MAN BE RE-APPOINTED AS AUDITORS OF THE COMPANY FOR THE YEAR ENDING 30 JUNE 2019	Management	For	For
8	THAT THE COMPANY GENERALLY AND UNCONDITIONALLY BE AUTHORISED TO MAKE MARKET PURCHASES OF ORDINARY SHARES OF USD 0.01 EACH PROVIDED THAT: (A) THE MAXIMUM AGGREGATE NUMBER OF ORDINARY SHARES THAT MAY BE PURCHASED IS 13,859,940 (BEING THE EQUIVALENT OF 14.99% OF THE COMPANY'S ISSUED SHARE CAPITAL AT THE DATE OF THIS NOTICE); (B) THE MINIMUM PRICE (EXCLUDING EXPENSES) WHICH MAY BE PAID FOR EACH ORDINARY SHARE IS USD 0.01 BEING THE NOMINAL VALUE PER ORDINARY SHARE; (C) THE MAXIMUM PRICE (EXCLUDING EXPENSES) WHICH MAY BE PAID FOR EACH ORDINARY SHARE IS THE HIGHER OF: (I) 105 PER CENT OF THE AVERAGE MARKET VALUE OF AN ORDINARY SHARE IN THE COMPANY FOR THE FIVE BUSINESS DAYS PRIOR TO THE DAY THE PURCHASE IS MADE; AND (II) THE VALUE OF AN ORDINARY SHARE CALCULATED ON THE BASIS OF THE HIGHER OF THE PRICE QUOTED FOR (I) THE LAST INDEPENDENT TRADE OF AND (II) THE HIGHEST CURRENT INDEPENDENT BID FOR, ANY NUMBER OF THE COMPANY'S ORDINARY SHARES ON THE TRADING VENUE WHERE THE PURCHASE IS CARRIED OUT; AND (D) THE AUTHORITY CONFERRED BY THIS RESOLUTION SHALL EXPIRE ON 6 NOVEMBER 2019 OR, IF EARLIER, AT THE CONCLUSION OF THE COMPANY'S NEXT ANNUAL GENERAL MEETING SAVE THAT THE COMPANY	Management	For	For

## City of London Voting Record November 2018

MAY, BEFORE THE EXPIRY OF THE AUTHORITY GRANTED BY THIS RESOLUTION, ENTER INTO A CONTRACT TO PURCHASE ORDINARY SHARES WHICH WILL OR MAY BE EXECUTED WHOLLY OR PARTLY AFTER THE EXPIRY OF SUCH AUTHORITY. ALL SHARES PURCHASED PURSUANT TO THE ABOVE AUTHORITY SHALL BE EITHER: (I) HELD, SOLD, TRANSFERRED OR OTHERWISE DEALT WITH AS TREASURY SHARES; OR (II) CANCELLED IMMEDIATELY UPON COMPLETION OF THE PURCHASE

9	THAT THE RIGHTS OF HOLDERS OF EQUITY SECURITIES IN THE COMPANY TO RECEIVE A PRE-EMPTIVE OFFER OF EQUITY SECURITIES PURSUANT TO ARTICLE 5A.2 OF THE COMPANY ARTICLES OF ASSOCIATION SHALL BE AND IS HEREBY EXCLUDED IN RESPECT OF 9,246,124 ORDINARY SHARES THIS EXCLUSION TO EXPIRE IMMEDIATELY PRIOR TO THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2019	Management	For	For
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## City of London Voting Record November 2018

### STRATEGIC EQUITY CAPITAL PLC

Security	G8527P104	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	07-Nov-2018
ISIN	GB00B0BDCB21	Agenda	710006316 - Management
Record Date		Holding Recon Date	05-Nov-2018
City / Country	LONDON / United Kingdom	Vote Deadline Date	01-Nov-2018
SEDOL(s)	B0BDCB2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND ADOPT THE AUDITED FINANCIAL STATEMENTS FOR THE YEAR ENDED 30 JUNE 2018, TOGETHER WITH THE STRATEGIC REPORT AND REPORTS OF THE DIRECTORS AND AUDITOR THEREON	Management	For	For
2	TO DECLARE A FINAL DIVIDEND OF 1.00P PER ORDINARY SHARE	Management	For	For
3	TO RECEIVE AND APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For
4	TO APPROVE THE POLICY ON DIRECTORS' REMUNERATION	Management	For	For
5	TO RE-ELECT RICHARD HILLS AS A DIRECTOR	Management	For	For
6	TO RE-ELECT JOSEPHINE DIXON AS A DIRECTOR	Management	For	For
7	TO RE-ELECT RICHARD LOCKE AS A DIRECTOR	Management	For	For
8	TO RE-ELECT WILLIAM BARLOW AS A DIRECTOR	Management	For	For
9	TO APPOINT KPMG LLP AS AUDITOR TO THE COMPANY, TO HOLD OFFICE FROM THE CONCLUSION OF THIS MEETING UNTIL THE NEXT GENERAL MEETING AT WHICH FINANCIAL STATEMENTS ARE LAID	Management	For	For
10	TO AUTHORISE THE DIRECTORS TO DETERMINE THE REMUNERATION OF KPMG LLP	Management	For	For
11	THAT THE COMPANY CONTINUE AS AN INVESTMENT TRUST UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY	Management	For	For
12	THAT THE DIRECTORS BE AUTHORISED TO ALLOT EQUITY SECURITIES	Management	For	For
13	THAT THE DIRECTORS BE AUTHORISED TO ALLOT EQUITY SECURITIES FOR CASH, DISAPPLYING STATUTORY PRE-EMPTION RIGHTS	Management	For	For
14	THAT THE COMPANY BE AUTHORISED TO MAKE MARKET PURCHASES OF ITS OWN ORDINARY SHARES	Management	For	For

## City of London Voting Record November 2018

### THE HERZFELD CARIBBEAN BASIN FUND, INC.

Security	42804T106	Meeting Type	Annual
Ticker Symbol	CUBA	Meeting Date	07-Nov-2018
ISIN	US42804T1060	Agenda	934870850 - Management
Record Date	10-Aug-2018	Holding Recon Date	10-Aug-2018
City / Country	/ United States	Vote Deadline Date	06-Nov-2018
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 Cecilia L. Gondor		For	For
	2 Kay W. Tatum		For	For



## City of London Voting Record November 2018

### LEGG MASON

Security	184691103	Meeting Type	Special
Ticker Symbol	CBA	Meeting Date	07-Nov-2018
ISIN	US1846911030	Agenda	934878919 - Management
Record Date	05-Sep-2018	Holding Recon Date	05-Sep-2018
City / Country	/ United States	Vote Deadline Date	06-Nov-2018
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To approve the merger of the Fund with and into ClearBridge Energy MLP Opportunity Fund Inc. in accordance with the Maryland General Corporation Law.	Management	For	For

## City of London Voting Record November 2018

### INVL TECHNOLOGY

Security	X0291A109	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	09-Nov-2018
ISIN	LT0000128860	Agenda	710082708 - Management
Record Date	02-Nov-2018	Holding Recon Date	02-Nov-2018
City / Country	VILNIUS / Lithuania	Vote Deadline Date	02-Nov-2018
SEDOL(s)	BTPJKL5 - BVYP8J2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT POA IS NEEDED FOR PROXY VOTING IN LITHUANIA. THANK YOU.	Non-Voting		
1	REGARDING ELECTION OF AUDITOR TO CARRY OUT OF THE AUDIT OF THE ANNUAL FINANCIAL STATEMENTS AND SETTING CONDITIONS OF PAYMENT FOR AUDIT SERVICES	Management	For	For

## City of London Voting Record November 2018

### ICAPITAL.BIZ BERHAD

Security	Y38545102	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	10-Nov-2018
ISIN	MYL5108FO003	Agenda	709964402 - Management
Record Date	01-Nov-2018	Holding Recon Date	01-Nov-2018
City / Country	KUALA / Malaysia LUMPUR	Vote Deadline Date	02-Nov-2018
SEDOL(s)	B0LX4J4	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO APPROVE THE DIRECTORS' FEES PAYABLE TO THE DIRECTORS OF THE COMPANY AMOUNTING TO RM228,837 FOR THE FINANCIAL YEAR ENDED 31 MAY 2018	Management	For	For
2	TO APPROVE THE AGGREGATE BENEFITS PAYABLE OF UP TO RM50,000 TO THE DIRECTORS OF THE COMPANY FROM 11 NOVEMBER 2018 UNTIL THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY, TO BE PAID MONTHLY IN ARREARS AFTER EACH MONTH OF COMPLETED SERVICE OF THE DIRECTORS	Management	For	For
3	TO RE-ELECT THE FOLLOWING DIRECTOR WHO IS RETIRING PURSUANT TO CLAUSE 100(1) OF THE CONSTITUTION OF THE COMPANY AND, BEING ELIGIBLE, HAVE OFFERED HIMSELF FOR RE-ELECTION: DATUK NG PENG HONG @ NG PENG HAY	Management	Against	Against
4	TO RE-ELECT THE FOLLOWING DIRECTOR WHO IS RETIRING PURSUANT TO CLAUSE 100(1) OF THE CONSTITUTION OF THE COMPANY AND, BEING ELIGIBLE, HAVE OFFERED HIMSELF FOR RE-ELECTION: DATO' SERI MD AJIB BIN ANUAR	Management	Against	Against
5	TO RE-APPOINT MESSRS CROWE MALAYSIA (FORMERLY KNOWN AS CROWE HORWATH) AS AUDITORS OF THE COMPANY AND TO AUTHORISE THE DIRECTORS TO FIX THEIR REMUNERATION	Management	For	For

## City of London Voting Record November 2018

### ICAPITAL.BIZ BERHAD

Security	Y38545102	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	10-Nov-2018
ISIN	MYL5108FO003	Agenda	709964402 - Management
Record Date	01-Nov-2018	Holding Recon Date	01-Nov-2018
City / Country	KUALA / Malaysia LUMPUR	Vote Deadline Date	02-Nov-2018
SEDOL(s)	B0LX4J4	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO APPROVE THE DIRECTORS' FEES PAYABLE TO THE DIRECTORS OF THE COMPANY AMOUNTING TO RM228,837 FOR THE FINANCIAL YEAR ENDED 31 MAY 2018	Management	For	For
2	TO APPROVE THE AGGREGATE BENEFITS PAYABLE OF UP TO RM50,000 TO THE DIRECTORS OF THE COMPANY FROM 11 NOVEMBER 2018 UNTIL THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY, TO BE PAID MONTHLY IN ARREARS AFTER EACH MONTH OF COMPLETED SERVICE OF THE DIRECTORS	Management	For	For
3	TO RE-ELECT THE FOLLOWING DIRECTOR WHO IS RETIRING PURSUANT TO CLAUSE 100(1) OF THE CONSTITUTION OF THE COMPANY AND, BEING ELIGIBLE, HAVE OFFERED HIMSELF FOR RE-ELECTION: DATUK NG PENG HONG @ NG PENG HAY	Management	Against	Against
4	TO RE-ELECT THE FOLLOWING DIRECTOR WHO IS RETIRING PURSUANT TO CLAUSE 100(1) OF THE CONSTITUTION OF THE COMPANY AND, BEING ELIGIBLE, HAVE OFFERED HIMSELF FOR RE-ELECTION: DATO' SERI MD AJIB BIN ANUAR	Management	Against	Against
5	TO RE-APPOINT MESSRS CROWE MALAYSIA (FORMERLY KNOWN AS CROWE HORWATH) AS AUDITORS OF THE COMPANY AND TO AUTHORISE THE DIRECTORS TO FIX THEIR REMUNERATION	Management	For	For

## City of London Voting Record November 2018

### ICAPITAL.BIZ BERHAD

Security	Y38545102	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	10-Nov-2018
ISIN	MYL5108FO003	Agenda	709964402 - Management
Record Date	01-Nov-2018	Holding Recon Date	01-Nov-2018
City / Country	KUALA / Malaysia LUMPUR	Vote Deadline Date	02-Nov-2018
SEDOL(s)	BOLX4J4	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO APPROVE THE DIRECTORS' FEES PAYABLE TO THE DIRECTORS OF THE COMPANY AMOUNTING TO RM228,837 FOR THE FINANCIAL YEAR ENDED 31 MAY 2018	Management	For	For
2	TO APPROVE THE AGGREGATE BENEFITS PAYABLE OF UP TO RM50,000 TO THE DIRECTORS OF THE COMPANY FROM 11 NOVEMBER 2018 UNTIL THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY, TO BE PAID MONTHLY IN ARREARS AFTER EACH MONTH OF COMPLETED SERVICE OF THE DIRECTORS	Management	For	For
3	TO RE-ELECT THE FOLLOWING DIRECTOR WHO IS RETIRING PURSUANT TO CLAUSE 100(1) OF THE CONSTITUTION OF THE COMPANY AND, BEING ELIGIBLE, HAVE OFFERED HIMSELF FOR RE-ELECTION: DATUK NG PENG HONG @ NG PENG HAY	Management	Against	Against
4	TO RE-ELECT THE FOLLOWING DIRECTOR WHO IS RETIRING PURSUANT TO CLAUSE 100(1) OF THE CONSTITUTION OF THE COMPANY AND, BEING ELIGIBLE, HAVE OFFERED HIMSELF FOR RE-ELECTION: DATO' SERI MD AJIB BIN ANUAR	Management	Against	Against
5	TO RE-APPOINT MESSRS CROWE MALAYSIA (FORMERLY KNOWN AS CROWE HORWATH) AS AUDITORS OF THE COMPANY AND TO AUTHORISE THE DIRECTORS TO FIX THEIR REMUNERATION	Management	For	For

## City of London Voting Record November 2018

### GENESIS EMERGING MARKETS FUND LTD

Security	G3823U170	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	13-Nov-2018
ISIN	GG00B4L0PD47	Agenda	710023110 - Management
Record Date		Holding Recon Date	09-Nov-2018
City / Country	ST / Guernsey PETER PORT	Vote Deadline Date	07-Nov-2018
SEDOL(s)	B4L0PD4 - B59RFP6	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE REPORT OF THE DIRECTORS AND AUDITED FINANCIAL STATEMENTS FOR THE YEAR ENDED 30TH JUNE 2018	Management	For	For
2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 30TH JUNE 2018	Management	For	For
3	TO RE-APPOINT KPMG CHANNEL ISLANDS LIMITED AS INDEPENDENT AUDITORS TO THE FUND	Management	For	For
4	TO AUTHORISE THE DIRECTORS TO AGREE THE REMUNERATION OF THE INDEPENDENT AUDITORS	Management	For	For
5	TO DECLARE A FINAL DIVIDEND OF 19.0 US CENTS PER PARTICIPATING PREFERENCE SHARE TO BE PAID IN RESPECT OF THE FINANCIAL YEAR ENDED 30TH JUNE 2018	Management	For	For
6	TO RE-ELECT SUJIT BANERJI AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO RE-ELECT RUSSELL EDEY AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO RE-ELECT SAFFET KARPAT AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO RE-ELECT DR. JOHN LLEWELLYN AS A DIRECTOR OF THE COMPANY	Management	For	For
10	TO RE-ELECT HELENE PLOIX AS A DIRECTOR OF THE COMPANY	Management	For	For
11	TO RE-ELECT KATHERINE TSANG AS A DIRECTOR OF THE COMPANY	Management	For	For
12	TO AUTHORISE THE COMPANY TO MAKE MARKET PURCHASES OF ITS OWN PARTICIPATING PREFERENCE SHARES (AS DEFINED IN THE NOTICE OF MEETING)	Management	For	For

## City of London Voting Record November 2018

### NUVEEN CALIFORNIA QUALITY MUNI INC FD

Security	67066Y105	Meeting Type	Annual
Ticker Symbol	NAC	Meeting Date	13-Nov-2018
ISIN	US67066Y1055	Agenda	934886548 - Management
Record Date	14-Sep-2018	Holding Recon Date	14-Sep-2018
City / Country	/ United States	Vote Deadline Date	12-Nov-2018
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	DIRECTOR	Management		
	1 Margo L. Cook		Withheld	Against
	2 Jack B. Evans		Withheld	Against
	3 Albin F. Moschner		Withheld	Against

## City of London Voting Record November 2018

### NUVEEN CALIFORNIA AMT FREE MUNI INC FD

Security	670651108	Meeting Type	Annual
Ticker Symbol	NKX	Meeting Date	13-Nov-2018
ISIN	US6706511085	Agenda	934886548 - Management
Record Date	14-Sep-2018	Holding Recon Date	14-Sep-2018
City / Country	/ United States	Vote Deadline Date	12-Nov-2018
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	DIRECTOR	Management		
	1 Margo L. Cook		Withheld	Against
	2 Jack B. Evans		Withheld	Against
	3 Albin F. Moschner		Withheld	Against



## City of London Voting Record November 2018

### NUVEEN PENNSYLVANIA QLTY MUNI INC FD INC

Security	670972108	Meeting Type	Annual
Ticker Symbol	NQP	Meeting Date	13-Nov-2018
ISIN	US6709721087	Agenda	934886548 - Management
Record Date	14-Sep-2018	Holding Recon Date	14-Sep-2018
City / Country	/ United States	Vote Deadline Date	12-Nov-2018
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1a.	DIRECTOR	Management		
	1 Margo L. Cook		Withheld	Against
	2 Jack B. Evans		Withheld	Against
	3 Albin F. Moschner		Withheld	Against

## City of London Voting Record November 2018

### S.C. FONDUL PROPRIETATEA S.A.

Security	X3072C104	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	14-Nov-2018
ISIN	ROFPTAACNOR5	Agenda	709924371 - Management
Record Date	18-Oct-2018	Holding Recon Date	18-Oct-2018
City / Country	BUCHAR / Romania EST	Vote Deadline Date	06-Nov-2018
SEDOL(s)	B44NWK6 - B62BHV2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	12 SEP 2018: IF YOU WISH YOU TO VOTE IN THIS GENERAL ASSEMBLY, YOU MUST-RETURN YOUR INSTRUCTIONS BY THE INDICATED CUTOFF DATE; ADDITIONALLY, IN ORDER-TO PROCESS YOUR VOTING INSTRUCTIONS, PLEASE ALSO NOTE THAT THE COMPANY-SPECIFIC POWER OF ATTORNEY MUST BE SIGNED AND SENT IN ORIGINAL (BANK REPLY-DEADLINE -2) TO THE APPROPRIATE SUB CUSTODIAN. SHAREHOLDER INFORMATION CAN BE-RETRIEVED FROM THE MATERIAL URL THAT IS PROVIDED WITH THIS BALLOT. PLEASE-CONTACT YOUR INSTITUTION CLIENT SERVICE REPRESENTATIVE TO OBTAIN THE NAME OF-THE SUB-CUSTODIAN THAT THIS FORM SHOULD BE MAILED. THANK YOU.	Non-Voting		
CMMT	PLEASE NOTE THAT THERE ARE ADDITIONAL DOCUMENTATION REQUIREMENTS ASSOCIATED-WITH THIS MEETING: DOCUMENTATION CONFIRMING THE QUALITY OF THE SIGNER AS-LEGAL REPRESENTATIVE MUST BE DELIVERED DIRECTLY TO THE COMPANY NO LATER THAN-THE DEADLINE AS STATED ON THE COMPANIES MEETING NOTICE.	Non-Voting		
1	THE APPROVAL OF THE TERMINATION OF THE BUY-BACK PROGRAMME, APPROVED BY THE RESOLUTION OF THE EXTRAORDINARY SHAREHOLDERS MEETING OF FONDUL PROPRIETATEA S.A. NO. 5 OF 26 OCTOBER 2017, STARTING WITH 31 DECEMBER 2018. THE SHARE CAPITAL DECREASE FOR CANCELLING THE SHARES ACQUIRED DURING THIS BUY-BACK PROGRAMME WILL BE SUBJECT TO FONDUL PROPRIETATEA S.A. SHAREHOLDERS APPROVAL DURING 2019	Management	For	For
2	THE APPROVAL OF THE AUTHORIZATION OF THE SOLE DIRECTOR TO BUY-BACK SHARES OF FONDUL PROPRIETATEA S.A., GLOBAL DEPOSITARY RECEIPTS OR DEPOSITARY INTERESTS CORRESPONDING TO SHARES OF FONDUL PROPRIETATEA S.A., VIA TRADING ON THE REGULAR MARKET ON WHICH THE SHARES, THE GLOBAL DEPOSITARY RECEIPTS OR THE	Management	For	For

## City of London Voting Record November 2018

DEPOSITARY INTERESTS CORRESPONDING TO THE SHARES OF FONDUL PROPRIETATEA S.A. ARE LISTED OR PUBLIC TENDER OFFERS, IN COMPLIANCE WITH THE APPLICABLE LAW, FOR A MAXIMUM NUMBER OF 750,000,000 TREASURY SHARES (BEING IN THE FORM OF SHARES AND/OR SHARES EQUIVALENT AS DESCRIBED ABOVE), STARTING WITH 1 JANUARY 2019 UNTIL 31 DECEMBER 2019. THE BUY-BACK SHALL BE PERFORMED AT A PRICE THAT CANNOT BE LOWER THAN RON 0.2/SHARE OR HIGHER THAN RON 2/SHARE. IN CASE OF ACQUISITIONS OF GLOBAL DEPOSITARY RECEIPTS OR DEPOSITARY INTERESTS CORRESPONDING TO SHARES OF FONDUL PROPRIETATEA S.A., THE CALCULATION OF SHARES IN RELATION TO THE AFOREMENTIONED THRESHOLDS SHALL BE BASED ON THE NUMBER OF FONDUL PROPRIETATEA S.A. SHARES UNDERLYING SUCH INSTRUMENTS AND THEIR MINIMUM AND MAXIMUM ACQUISITION PRICE IN THE CURRENCY EQUIVALENT (AT THE RELEVANT OFFICIAL EXCHANGE RATE PUBLISHED BY THE NATIONAL BANK OF ROMANIA VALID FOR THE DATE ON WHICH THE INSTRUMENTS ARE PURCHASED) SHALL BE WITHIN THE PRICE LIMITS APPLICABLE TO THE SHARE BUY-BACKS ABOVE-MENTIONED, AND SHALL BE CALCULATED BASED ON THE NUMBER OF SHARES REPRESENTED BY EACH GLOBAL DEPOSITARY RECEIPT OR DEPOSITARY INTEREST. THE TRANSACTION CAN ONLY HAVE AS OBJECT FULLY PAID SHARES, GLOBAL DEPOSITARY RECEIPTS OR DEPOSITARY INTERESTS CORRESPONDING TO THE SHARES. THE SAID BUY-BACK PROGRAMME IS AIMED AT THE SHARE CAPITAL DECREASE OF FONDUL PROPRIETATEA S.A. IN ACCORDANCE WITH ARTICLE 207 PARAGRAPH (1) LETTER (C) OF COMPANIES LAW NO. 31/1990. THIS BUYBACK PROGRAMME IMPLEMENTATION WILL BE SUBJECT TO THE AVAILABILITY OF THE NECESSARY FINANCING SOURCES

3	<p>IN ACCORDANCE WITH ARTICLE 176 PARAGRAPH (1) OF REGULATION NO. 5/2018, THE APPROVAL OF 19 DECEMBER 2018 AS THE EX DATE, COMPUTED IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 2 PARAGRAPH (2) LETTER (L) OF REGULATION NO. 5/2018, AND 20 DECEMBER 2018 AS THE REGISTRATION DATE, COMPUTED IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 86 PARAGRAPH (1) OF ISSUERS LAW. AS THEY ARE NOT APPLICABLE TO THIS EGM, THE SHAREHOLDERS DO NOT DECIDE ON THE OTHER ASPECTS PROVIDED BY ARTICLE 176 PARAGRAPH (1) OF REGULATION NO.5/2018 SUCH AS DATE OF THE GUARANTEED PARTICIPATION AND THE PAYMENT DATE</p>	Management	For	For
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## City of London Voting Record November 2018

4	THE EMPOWERMENT, WITH AUTHORITY TO BE SUBSTITUTED, OF JOHAN MEYER TO SIGN THE SHAREHOLDERS RESOLUTIONS AND THE AMENDED AND RESTATED FORM OF THE CONSTITUTIVE ACT, IF THE CASE, AS WELL AS ANY OTHER DOCUMENTS IN CONNECTION THEREWITH, AND TO CARRY OUT ALL PROCEDURES AND FORMALITIES SET OUT BY LAW FOR THE PURPOSE OF IMPLEMENTING THE SHAREHOLDERS RESOLUTIONS, INCLUDING FORMALITIES FOR PUBLICATION AND REGISTRATION THEREOF WITH THE TRADE REGISTRY OR WITH ANY OTHER PUBLIC INSTITUTION	Management	For	For
CMMT	12 SEP 2018: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE-TEXT OF COMMENT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE-AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU	Non-Voting		

## City of London Voting Record November 2018

### S.C. FONDUL PROPRIETATEA S.A.

Security	X3072C104	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	14-Nov-2018
ISIN	ROFPTAACNOR5	Agenda	709925727 - Management
Record Date	18-Oct-2018	Holding Recon Date	18-Oct-2018
City / Country	BUCURE / Romania STI	Vote Deadline Date	06-Nov-2018
SEDOL(s)	B44NWK6 - B62BHV2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	12 SEP 2018: IF YOU WISH YOU TO VOTE IN THIS GENERAL ASSEMBLY, YOU MUST-RETURN YOUR INSTRUCTIONS BY THE INDICATED CUTOFF DATE; ADDITIONALLY, IN ORDER-TO PROCESS YOUR VOTING INSTRUCTIONS, PLEASE ALSO NOTE THAT THE COMPANY-SPECIFIC POWER OF ATTORNEY MUST BE SIGNED AND SENT IN ORIGINAL (BANK REPLY-DEADLINE -2) TO THE APPROPRIATE SUB CUSTODIAN. SHAREHOLDER INFORMATION CAN BE-RETRIEVED FROM THE MATERIAL URL THAT IS PROVIDED WITH THIS BALLOT. PLEASE-CONTACT YOUR INSTITUTION CLIENT SERVICE REPRESENTATIVE TO OBTAIN THE NAME OF-THE SUB-CUSTODIAN THAT THIS FORM SHOULD BE MAILED. THANK YOU.	Non-Voting		
CMMT	PLEASE NOTE THAT THERE ARE ADDITIONAL DOCUMENTATION REQUIREMENTS ASSOCIATED-WITH THIS MEETING: DOCUMENTATION CONFIRMING THE QUALITY OF THE SIGNER AS-LEGAL REPRESENTATIVE MUST BE DELIVERED DIRECTLY TO THE COMPANY NO LATER THAN-THE DEADLINE AS STATED ON THE COMPANIES MEETING NOTICE.	Non-Voting		
1	THE APPROVAL OF 2019 BUDGET OF FONDUL PROPRIETATEA S.A., IN ACCORDANCE WITH THE SUPPORTING MATERIALS	Management	For	For
2	IN ACCORDANCE WITH ARTICLE 176 PARAGRAPH (1) OF REGULATION NO. 5/2018, THE APPROVAL OF 19 DECEMBER 2018 AS THE EX - DATE, COMPUTED IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 2 PARAGRAPH (2) LETTER (L) OF REGULATION NO. 5/2018, AND 20 DECEMBER 2018 AS THE REGISTRATION DATE, COMPUTED IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 86 PARAGRAPH (1) OF ISSUERS' LAW. AS THEY ARE NOT APPLICABLE TO THIS OGM, THE SHAREHOLDERS DO NOT DECIDE ON THE OTHER ASPECTS PROVIDED BY ARTICLE 176 PARAGRAPH (1) OF REGULATION NO. 5/2018 SUCH AS DATE OF THE GUARANTEED PARTICIPATION AND THE PAYMENT DATE	Management	For	For

## City of London Voting Record November 2018

3	THE EMPOWERMENT, WITH AUTHORITY TO BE SUBSTITUTED, OF JOHAN MEYER TO SIGN THE SHAREHOLDERS' RESOLUTIONS, AS WELL AS ANY OTHER DOCUMENTS IN CONNECTION THEREWITH, AND TO CARRY OUT ALL PROCEDURES AND FORMALITIES SET OUT BY LAW FOR THE PURPOSE OF IMPLEMENTING THE SHAREHOLDERS' RESOLUTION, INCLUDING FORMALITIES FOR PUBLICATION AND REGISTRATION THEREOF WITH THE TRADE REGISTRY OR WITH ANY OTHER PUBLIC INSTITUTION	Management	For	For
CMMT	12 SEP 2018: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF COMPANY-SPECIFIC POA. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE-AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	Non-Voting		

## City of London Voting Record November 2018

### FONDUL PROPRIETATEA SA

Security	34460G106	Meeting Type	MIX
Ticker Symbol		Meeting Date	14-Nov-2018
ISIN	US34460G1067	Agenda	710025520 - Management
Record Date	18-Oct-2018	Holding Recon Date	18-Oct-2018
City / Country	BUCHAR / Romania EST	Vote Deadline Date	05-Nov-2018
SEDOL(s)	BWV69Y7 - BYMSXJ9	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
E.1	THE APPROVAL OF THE TERMINATION OF THE BUY-BACK PROGRAMME, APPROVED BY THE RESOLUTION OF THE EXTRAORDINARY SHAREHOLDERS' MEETING OF FONDUL PROPRIETATEA S.A. NO. 5 OF 26 OCTOBER 2017, STARTING WITH 31 DECEMBER 2018. THE SHARE CAPITAL DECREASE FOR CANCELLING THE SHARES ACQUIRED DURING THIS BUY-BACK PROGRAMME WILL BE SUBJECT TO FONDUL PROPRIETATEA S.A. SHAREHOLDERS' APPROVAL DURING 2019	Management	For	For
E.2	THE APPROVAL OF THE AUTHORIZATION OF THE SOLE DIRECTOR TO BUY-BACK SHARES OF FONDUL PROPRIETATEA S.A., GLOBAL DEPOSITARY RECEIPTS OR DEPOSITARY INTERESTS CORRESPONDING TO SHARES OF FONDUL PROPRIETATEA S.A., VIA TRADING ON THE REGULAR MARKET ON WHICH THE SHARES, THE GLOBAL DEPOSITARY RECEIPTS OR THE DEPOSITARY INTERESTS CORRESPONDING TO THE SHARES OF FONDUL PROPRIETATEA S.A. ARE LISTED OR PUBLIC TENDER OFFERS, IN COMPLIANCE WITH THE APPLICABLE LAW, FOR A MAXIMUM NUMBER OF 750,000,000 TREASURY SHARES (BEING IN THE FORM OF SHARES AND/OR SHARES EQUIVALENT AS DESCRIBED ABOVE), STARTING WITH 1 JANUARY 2019 UNTIL 31 DECEMBER 2019. THE BUY-BACK SHALL BE PERFORMED AT A PRICE THAT CANNOT BE LOWER THAN RON 0.2 / SHARE OR HIGHER THAN RON 2 / SHARE. IN CASE OF ACQUISITIONS OF GLOBAL DEPOSITARY RECEIPTS OR DEPOSITARY INTERESTS CORRESPONDING TO SHARES OF FONDUL PROPRIETATEA S.A., THE CALCULATION OF SHARES IN RELATION TO THE AFOREMENTIONED THRESHOLDS SHALL BE BASED ON THE NUMBER OF FONDUL PROPRIETATEA S.A. SHARES UNDERLYING SUCH INSTRUMENTS AND THEIR MINIMUM AND MAXIMUM ACQUISITION PRICE IN THE CURRENCY EQUIVALENT (AT THE RELEVANT OFFICIAL EXCHANGE RATE PUBLISHED BY THE NATIONAL BANK OF ROMANIA VALID FOR THE DATE ON WHICH THE INSTRUMENTS ARE	Management	For	For

## City of London Voting Record November 2018

PURCHASED) SHALL BE WITHIN THE PRICE LIMITS APPLICABLE TO THE SHARE BUY-BACKS ABOVE-MENTIONED, AND SHALL BE CALCULATED BASED ON THE NUMBER OF SHARES REPRESENTED BY EACH GLOBAL DEPOSITARY RECEIPT OR DEPOSITARY INTEREST. THE TRANSACTION CAN ONLY HAVE AS OBJECT FULLY PAID SHARES, GLOBAL DEPOSITARY RECEIPTS OR DEPOSITARY INTERESTS CORRESPONDING TO THE SHARES. THE SAID BUY-BACK PROGRAMME IS AIMED AT THE SHARE CAPITAL DECREASE OF FONDUL PROPRIETATEA S.A. IN ACCORDANCE WITH ARTICLE 207 PARAGRAPH (1) LETTER (C) OF COMPANIES' LAW NO. 31/1990. THIS BUYBACK PROGRAMME IMPLEMENTATION WILL BE SUBJECT TO THE AVAILABILITY OF THE NECESSARY FINANCING SOURCES

E.3	IN ACCORDANCE WITH ARTICLE 176 PARAGRAPH (1) OF REGULATION NO. 5/2018, THE APPROVAL OF 19 DECEMBER 2018 AS THE EX - DATE, COMPUTED IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 2 PARAGRAPH (2) LETTER (L) OF REGULATION NO. 5/2018, AND 20 DECEMBER 2018 AS THE REGISTRATION DATE, COMPUTED IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 86 PARAGRAPH (1) OF ISSUERS' LAW. AS THEY ARE NOT APPLICABLE TO THIS EGM, THE SHAREHOLDERS DO NOT DECIDE ON THE OTHER ASPECTS PROVIDED BY ARTICLE 176 PARAGRAPH (1) OF REGULATION NO. 5/2018 SUCH AS DATE OF THE GUARANTEED PARTICIPATION AND THE PAYMENT DATE	Management	For	For
E.4	THE EMPOWERMENT, WITH AUTHORITY TO BE SUBSTITUTED, OF JOHAN MEYER TO SIGN THE SHAREHOLDERS' RESOLUTIONS AND THE AMENDED AND RESTATED FORM OF THE CONSTITUTIVE ACT, IF THE CASE, AS WELL AS ANY OTHER DOCUMENTS IN CONNECTION THEREWITH, AND TO CARRY OUT ALL PROCEDURES AND FORMALITIES SET OUT BY LAW FOR THE PURPOSE OF IMPLEMENTING THE SHAREHOLDERS' RESOLUTIONS, INCLUDING FORMALITIES FOR PUBLICATION AND REGISTRATION THEREOF WITH THE TRADE REGISTRY OR WITH ANY OTHER PUBLIC INSTITUTION	Management	For	For
O.1	THE APPROVAL OF 2019 BUDGET OF FONDUL PROPRIETATEA S.A., IN ACCORDANCE WITH THE SUPPORTING MATERIALS	Management	For	For
O.2	IN ACCORDANCE WITH ARTICLE 176 PARAGRAPH (1) OF REGULATION NO. 5/2018, THE APPROVAL OF 19 DECEMBER 2018 AS THE EX - DATE, COMPUTED IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 2 PARAGRAPH (2) LETTER (L) OF REGULATION NO. 5/2018, AND 20 DECEMBER 2018 AS THE REGISTRATION DATE, COMPUTED IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE	Management	For	For



## City of London Voting Record November 2018

86 PARAGRAPH (1) OF ISSUERS' LAW. AS THEY ARE NOT APPLICABLE TO THIS OGM, THE SHAREHOLDERS DO NOT DECIDE ON THE OTHER ASPECTS PROVIDED BY ARTICLE 176 PARAGRAPH (1) OF REGULATION NO. 5/2018 SUCH AS DATE OF THE GUARANTEED PARTICIPATION AND THE PAYMENT DATE

O.3	THE EMPOWERMENT, WITH AUTHORITY TO BE SUBSTITUTED, OF JOHAN MEYER TO SIGN THE SHAREHOLDERS' RESOLUTIONS, AS WELL AS ANY OTHER DOCUMENTS IN CONNECTION THEREWITH, AND TO CARRY OUT ALL PROCEDURES AND FORMALITIES SET OUT BY LAW FOR THE PURPOSE OF IMPLEMENTING THE SHAREHOLDERS' RESOLUTION, INCLUDING FORMALITIES FOR PUBLICATION AND REGISTRATION THEREOF WITH THE TRADE REGISTRY OR WITH ANY OTHER PUBLIC INSTITUTION	Management	For	For
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## City of London Voting Record November 2018

### HENDERSON EUROTRUST PLC

Security	G43985111	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	14-Nov-2018
ISIN	GB0004199294	Agenda	710032121 - Management
Record Date		Holding Recon Date	12-Nov-2018
City / Country	LONDON / United Kingdom	Vote Deadline Date	08-Nov-2018
SEDOL(s)	0419929	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE COMPANY'S REPORT AND AUDITED FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 JULY 2018	Management	For	For
2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 31 JULY 2018	Management	For	For
3	TO APPROVE A FINAL DIVIDEND OF 22.5P PER SHARE	Management	For	For
4	TO RE-ELECT NICOLA RALSTON AS A DIRECTOR	Management	For	For
5	TO RE-ELECT RUTGER KOOPMANS AS A DIRECTOR	Management	For	For
6	TO RE-ELECT DAVID MARSH AS A DIRECTOR	Management	For	For
7	TO RE-ELECT EKATERINA THOMSON AS A DIRECTOR	Management	For	For
8	TO RE-APPOINT PRICEWATERHOUSECOOPERS LLP AS STATUTORY AUDITOR TO THE COMPANY	Management	For	For
9	TO AUTHORISE THE DIRECTORS TO DETERMINE THE REMUNERATION OF THE STATUTORY AUDITOR	Management	For	For
10	TO AUTHORISE THE DIRECTORS TO ALLOT RELEVANT SECURITIES	Management	For	For
11	TO DISAPPLY PRE-EMPTION RIGHTS	Management	For	For
12	TO AUTHORISE THE COMPANY TO MAKE MARKET PURCHASES OF ITS OWN SHARES	Management	For	For
13	TO AUTHORISE A GENERAL MEETING, OTHER THAN AN AGM, BE CALLED ON NOT LESS THAN 14 DAYS' NOTICE	Management	For	For

## City of London Voting Record November 2018

### TERRA CAPITAL PLC

Security	G8757F131	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	14-Nov-2018
ISIN	IM00BFD2HQ29	Agenda	710149902 - Management
Record Date		Holding Recon Date	31-Oct-2018
City / Country	DOUGLA / Isle of Man S	Vote Deadline Date	08-Nov-2018
SEDOL(s)	BFD2HQ2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	THAT THE REPORT OF THE DIRECTORS, AUDITORS' REPORT AND THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2017 BE RECEIVED AND ADOPTED	Management	For	For
2	THAT KPMG AUDIT LLC ISLE OF MAN, WHO HAS INDICATED ITS WILLINGNESS TO CONTINUE IN OFFICE, BE RE-APPOINTED AS AUDITORS OF THE COMPANY FOR THE YEAR TO 31 DECEMBER 2018 BUT UPON RESOLUTION TO WIND UP THE COMPANY THIS APPOINTMENT WILL CEASE	Management	For	For
3	THAT THE DIRECTORS BE AUTHORISED TO DETERMINE THE REMUNERATION OF THE AUDITORS, SHOULD AN AUDIT BE NECESSARY	Management	For	For
4	TO RE-APPOINT AS A DIRECTOR MR PETER BARTLETT WHO RETIRES IN ACCORDANCE WITH THE ARTICLES OF ASSOCIATION AND OFFERS HIMSELF FOR RE-ELECTION	Management	For	For
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 105685 DUE TO SEDOL-LINKED TO ISIN. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE-DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU	Non-Voting		

## City of London Voting Record November 2018

### RIVERSTONE ENERGY LIMITED

Security	G4196W108	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	15-Nov-2018
ISIN	GG00BBHXCL35	Agenda	710051436 - Management
Record Date		Holding Recon Date	13-Nov-2018
City / Country	ST / Guernsey PETER PORT	Vote Deadline Date	09-Nov-2018
SEDOL(s)	BBHXCL3 - BD6D0G9 - BG7ZWH0	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	<p>THAT THE PROPOSED TERMS OF THE OFF-MARKET PURCHASE AGREEMENT CONSTITUTED BY THE COMPANY'S CIRCULAR DATED 15 OCTOBER 2018 (THE "CIRCULAR") IN RESPECT OF THE TENDER OFFER BY THE COMPANY TO PURCHASE ITS ORDINARY SHARES ON THE TERMS SPECIFIED IN THE CIRCULAR AND THE TENDER FORM ISSUED BY THE COMPANY FOR USE BY SHAREHOLDERS IN CONNECTION WITH THE TENDER OFFER (IN THE CASE OF ORDINARY SHARES HELD IN CERTIFICATED FORM) (TOGETHER, THE "OFF-MARKET PURCHASE AGREEMENT") TO BE ENTERED INTO BETWEEN THE COMPANY AND EACH SHAREHOLDER WHO VALIDLY TENDERS ORDINARY SHARES PURSUANT TO THE TENDER OFFER, BE AND ARE HEREBY APPROVED. THE AUTHORITY CONFERRED BY THE RESOLUTION SHALL EXPIRE ON 30 NOVEMBER 2018, UNLESS SUCH AUTHORITY IS, BEFORE SUCH DATE, VARIED, REVOKED OR RENEWED BY SPECIAL RESOLUTION OF THE COMPANY</p>	Management	Against	Against
CMMT	<p>17 OCT 2018: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE-TEXT IN RESOLUTION 1. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT-VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.</p>	Non-Voting		

## City of London Voting Record November 2018

### BLACKROCK EMERGING EUROPE PLC

Security	G1319K102	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	15-Nov-2018
ISIN	GB00B0BN1P96	Agenda	710083786 - Management
Record Date		Holding Recon Date	08-Nov-2018
City / Country	LONDON / United Kingdom	Vote Deadline Date	09-Nov-2018
SEDOL(s)	B0BN1P9 - B0BV6M5	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	APPROVE MATTERS RELATING TO THE SCHEME OF RECONSTRUCTION	Management	For	For

## City of London Voting Record November 2018

### TAU CAPITAL PLC

Security	G8697L107	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	19-Nov-2018
ISIN	IM00B1VVFG94	Agenda	710030139 - Management
Record Date		Holding Recon Date	09-Nov-2018
City / Country	DOUGLA / Isle of Man S	Vote Deadline Date	13-Nov-2018
SEDOL(s)	B1VVFG9 - B1XH2Z3 - B2PPXL5	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND ADOPT THE ANNUAL REPORT AND AUDITED ACCOUNTS OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2017, TOGETHER WITH THE DIRECTORS' AND AUDITOR'S REPORTS THEREON	Management	For	For
2	TO RE-APPOINT DELOITTE LLP AS AUDITORS OF THE COMPANY	Management	For	For
3	TO AUTHORISE THE DIRECTORS TO DETERMINE THE REMUNERATION OF DELOITTE LLP AS AUDITORS OF THE COMPANY	Management	For	For
4	TO RE-APPOINT AS A DIRECTOR MR TERENCE MAHONY	Management	For	For

## City of London Voting Record November 2018

### TR EUROPEAN GROWTH TRUST PLC

Security	G9032Q157	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	19-Nov-2018
ISIN	GB0009066928	Agenda	710055371 - Management
Record Date		Holding Recon Date	15-Nov-2018
City / Country	LONDON / United Kingdom	Vote Deadline Date	13-Nov-2018
SEDOL(s)	0906692 - 5848119 - B02S2X8 - B8BRD95	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE ANNUAL REPORT AND AUDITED FINANCIAL STATEMENTS FOR THE YEAR ENDED 30 JUNE 2018	Management	For	For
2	TO APPROVE THE DIRECTORS REMUNERATION REPORT FOR THE YEAR ENDED 30 JUNE 2018	Management	For	For
3	TO APPROVE A FINAL DIVIDEND OF 14.00P PER ORDINARY SHARE	Management	For	For
4	TO RE-ELECT MR AUDLEY TWISTON-DAVIES AS A DIRECTOR OF THE COMPANY	Management	For	For
5	TO RE-ELECT MR CHRISTOPHER CASEY AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO RE-ELECT MS SIMONA HEIDEMPERGHER AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO RE-ELECT MR ANDREW MARTIN SMITH AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO RE-ELECT MR ALEXANDER METTENHEIMER AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO RE-APPOINT ERNST AND YOUNG LLP AS STATUTORY AUDITOR TO THE COMPANY	Management	For	For
10	TO AUTHORISE THE AUDIT COMMITTEE TO DETERMINE THE STATUTORY AUDITORS REMUNERATION	Management	For	For
11	TO AUTHORISE THE DIRECTORS TO ALLOT SECURITIES	Management	For	For
12	TO DISAPPLY PRE-EMPTION RIGHTS	Management	For	For
13	TO AUTHORISE THE COMPANY TO MAKE MARKET PURCHASES OF ITS OWN SHARES	Management	For	For
14	TO ALLOW GENERAL MEETINGS TO BE HELD ON 14 DAYS NOTICE	Management	For	For

## City of London Voting Record November 2018

### JAPAN SMALLER CAPITALIZATION FUND, INC.

Security	47109U104	Meeting Type	Annual
Ticker Symbol	JOF	Meeting Date	20-Nov-2018
ISIN	US47109U1043	Agenda	934886726 - Management
Record Date	21-Sep-2018	Holding Recon Date	21-Sep-2018
City / Country	/ United States	Vote Deadline Date	19-Nov-2018
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 Rodney A. Buck		Withheld	Against
	2 David B. Chemidlin		Withheld	Against
2.	A Shareholder proposal requesting that the Board of Directors take action to declassify the Board of Directors.	Shareholder	For	Against



## City of London Voting Record November 2018

### BLACKROCK LIQUIDITY FUNDS

Security	09248U700	Meeting Type	Special
Ticker Symbol	TFDXX	Meeting Date	21-Nov-2018
ISIN	US09248U7000	Agenda	934885724 - Management
Record Date	24-Sep-2018	Holding Recon Date	24-Sep-2018
City / Country	/ United States	Vote Deadline Date	20-Nov-2018
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
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1a. DIRECTOR Management

- 1 Bruce R. Bond
- 2 Susan J. Carter
- 3 Collette Chilton
- 4 Neil A. Cotty
- 5 Robert Fairbairn
- 6 Lena G. Goldberg
- 7 Robert M. Hernandez
- 8 Henry R. Keizer
- 9 Cynthia A. Montgomery
- 10 Donald C. Opatrny
- 11 John M. Perlowski
- 12 Joseph P. Platt
- 13 Mark Stalnecker
- 14 Kenneth L. Urish
- 15 Claire A. Walton

## City of London Voting Record November 2018

### JPMORGAN EMERGING MARKETS INVESTMENT TRUST PLC

Security	G5205L108	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	22-Nov-2018
ISIN	GB0003418950	Agenda	710055369 - Management
Record Date		Holding Recon Date	20-Nov-2018
City / Country	LONDON / United Kingdom	Vote Deadline Date	16-Nov-2018
SEDOL(s)	0341895 - B8YYJY0	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE DIRECTORS REPORT THE ANNUAL ACCOUNTS AND THE AUDITORS REPORT FOR THE YEAR ENDED 30TH JUNE 2018	Management	For	For
2	TO APPROVE THE COMPANY'S REMUNERATION POLICY	Management	For	For
3	TO APPROVE THE DIRECTORS REMUNERATION REPORT FOR THE YEAR ENDED 30TH JUNE 2018	Management	For	For
4	TO APPROVE A FINAL DIVIDEND OF 12 POINT 5P PER SHARE	Management	For	For
5	TO REAPPOINT SARAH ARKLE AS A DIRECTOR OF THE COMPANY	Management	Abstain	Against
6	TO REAPPOINT RICHARD LAING AS A DIRECTOR OF THE COMPANY	Management	Abstain	Against
7	TO REAPPOINT RUARY NEILL AS A DIRECTOR OF THE COMPANY	Management	Abstain	Against
8	TO REAPPOINT ANDREW PAGE AS A DIRECTOR OF THE COMPANY	Management	Abstain	Against
9	TO REAPPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS TO THE COMPANY AND TO AUTHORISE THE DIRECTORS TO DETERMINE THEIR REMUNERATION	Management	For	For
10	TO GRANT AUTHORITY TO ALLOT NEW SHARES	Management	For	For
11	TO GRANT AUTHORITY TO DISAPPLY PRE-EMPTION RIGHTS ON ALLOTMENT OF RELEVANT SECURITIES	Management	For	For
12	TO GRANT AUTHORITY TO REPURCHASE THE COMPANY'S SHARES	Management	For	For

## City of London Voting Record November 2018

### BLACKROCK EMERGING EUROPE PLC

Security	G1319K102	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	23-Nov-2018
ISIN	GB00B0BN1P96	Agenda	710116042 - Management
Record Date		Holding Recon Date	21-Nov-2018
City / Country	LONDON / United Kingdom	Vote Deadline Date	19-Nov-2018
SEDOL(s)	B0BN1P9 - B0BV6M5	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO WIND UP THE COMPANY AND APPOINT THE LIQUIDATORS	Management	For	For

## City of London Voting Record November 2018

### JPEL PRIVATE EQUITY LIMITED

Security	G5209W159	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	26-Nov-2018
ISIN	GG00BF1F1F02	Agenda	710204493 - Management
Record Date		Holding Recon Date	22-Nov-2018
City / Country	ST / Guernsey PETER PORT	Vote Deadline Date	20-Nov-2018
SEDOL(s)	BF1F1F0	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RENEW THE COMPANY'S AUTHORITY TO MAKE PURCHASES OF UP TO 15 PER CENT. OF EACH CLASS OF ITS OWN ISSUED SHARES PURSUANT TO ANY PROPOSED TENDER OFFER	Management	For	For
2	TO RENEW THE COMPANY'S GENERAL AUTHORITY TO MAKE MARKET PURCHASES OF UP TO 14.99 PER CENT. OF EACH CLASS OF ITS OWN ISSUED SHARES	Management	For	For
3	TO APPROVE AND ADOPT THE ANNUAL REPORT AND FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR ENDED 30 JUNE 2018	Management	For	For
4	TO RE-ELECT PRICEWATERHOUSECOOPERS CI LLP AS AUDITORS TO THE COMPANY	Management	For	For
5	TO RE-AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITORS' REMUNERATION	Management	For	For
6	TO RE-AUTHORISE AND AGREE THE REMUNERATION OF THE DIRECTORS IN ACCORDANCE WITH THE ARTICLES OF INCORPORATION	Management	For	For
7	TO RE-ELECT CHRISTOPHER P. SPENCER AS A NON-EXECUTIVE, INDEPENDENT DIRECTOR OF THE COMPANY, WHO RETIRES BY ROTATION	Management	For	For
8	TO RE-ELECT JOHN LOUDON AS A NON-EXECUTIVE, INDEPENDENT DIRECTOR OF THE COMPANY, WHO RETIRES BY ROTATION	Management	For	For
9	TO RE-ELECT ANTHONY (TONY) DALWOOD AS A NON-EXECUTIVE, INDEPENDENT DIRECTOR OF THE COMPANY, WHO RETIRES BY ROTATION	Management	For	For
10	TO RE-ELECT SEAN HURST AS A NON-EXECUTIVE, INDEPENDENT DIRECTOR OF THE COMPANY, WHO RETIRES BY ROTATION	Management	For	For
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 123993 DUE TO SEDOL HAS-BEEN LINKED TO ISIN. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE-DISREGARDED IF VOTE DEADLINE EXTENSIONS ARE GRANTED. THEREFORE PLEASE-REINSTRUCT ON THIS	Non-Voting		

## City of London Voting Record November 2018

MEETING NOTICE ON THE NEW JOB. IF HOWEVER VOTE DEADLINE-EXTENSIONS ARE NOT GRANTED IN THE MARKET, THIS MEETING WILL BE CLOSED AND-YOUR VOTE INTENTIONS ON THE ORIGINAL MEETING WILL BE APPLICABLE. PLEASE-ENSURE VOTING IS SUBMITTED PRIOR TO CUTOFF ON THE ORIGINAL MEETING, AND AS-SOON AS POSSIBLE ON THIS NEW AMENDED MEETING. THANK YOU.

## City of London Voting Record November 2018

### SAYONA MINING LIMITED

Security	Q8329N108	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	27-Nov-2018
ISIN	AU000000SYA5	Agenda	710129479 - Management
Record Date	25-Nov-2018	Holding Recon Date	25-Nov-2018
City / Country	MILTON / Australia	Vote Deadline Date	22-Nov-2018
SEDOL(s)	BBX4LR9 - BC9ZGZ6 - BZ4C3B8	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	VOTING EXCLUSIONS APPLY TO THIS MEETING FOR PROPOSALS 1, 3 TO 5 AND VOTES-CAST BY ANY INDIVIDUAL OR RELATED PARTY WHO BENEFIT FROM THE PASSING OF THE-PROPOSAL/S WILL BE DISREGARDED BY THE COMPANY. HENCE, IF YOU HAVE OBTAINED-BENEFIT OR EXPECT TO OBTAIN FUTURE BENEFIT (AS REFERRED IN THE COMPANY-ANNOUNCEMENT) VOTE ABSTAIN ON THE RELEVANT PROPOSAL ITEMS. BY DOING SO, YOU-ACKNOWLEDGE THAT YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN BENEFIT BY THE-PASSING OF THE RELEVANT PROPOSAL/S. BY VOTING (FOR OR AGAINST) ON THE ABOVE-MENTIONED PROPOSAL/S, YOU ACKNOWLEDGE THAT YOU HAVE NOT OBTAINED BENEFIT-NEITHER EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S-AND YOU COMPLY WITH THE VOTING EXCLUSION	Non-Voting		
1	ADOPTION OF REMUNERATION REPORT	Management		
2	RE-ELECTION OF MR ALLAN BUCKLER AS A DIRECTOR	Management		
3	RATIFICATION OF THE ISSUE OF SHARES AND OPTIONS TO GREAT SANDY PTY LTD	Management		
4	RATIFICATION OF THE ISSUE OF SHARES AND OPTIONS TO INVESTORS INTRODUCED BY JETT CAPITAL ADVISORS LLC AND PATERSONS SECURITIES LIMITED	Management		
5	APPROVAL OF 10% PLACEMENT FACILITY	Management		

## City of London Voting Record November 2018

### REMGRO LTD

Security	S6873K106	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	29-Nov-2018
ISIN	ZAE000026480	Agenda	710155967 - Management
Record Date	23-Nov-2018	Holding Recon Date	23-Nov-2018
City / Country	SOMERS / South Africa ET WEST	Vote Deadline Date	22-Nov-2018
SEDOL(s)	4625216 - 6290689 - B08LPL0	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
O.1	APPROVAL OF ANNUAL FINANCIAL STATEMENTS	Management	For	For
O.2	REAPPOINTMENT OF AUDITOR: PRICEWATERHOUSECOOPERS INC	Management	For	For
O.3	ELECTION OF DIRECTOR - MS S E N DE BRUYN	Management	For	For
O.4	ELECTION OF DIRECTOR - MR P K HARRIS	Management	For	For
O.5	ELECTION OF DIRECTOR - MR M MOROBE	Management	For	For
O.6	ELECTION OF DIRECTOR - MR J P RUPERT	Management	For	For
O.7	ELECTION OF DIRECTOR - MR N J WILLIAMS	Management	For	For
O.8	APPOINTMENT OF MEMBER OF THE AUDIT AND RISK COMMITTEE - MS S E N DE BRUYN	Management	For	For
O.9	APPOINTMENT OF MEMBER OF THE AUDIT AND RISK COMMITTEE - MR N P MAGEZA	Management	For	For
O.10	APPOINTMENT OF MEMBER OF THE AUDIT AND RISK COMMITTEE - MR P J MOLEKETI	Management	For	For
O.11	APPOINTMENT OF MEMBER OF THE AUDIT AND RISK COMMITTEE - MR F ROBERTSON	Management	Against	Against
O.12	GENERAL AUTHORITY TO PLACE 5% OF THE UNISSUED ORDINARY SHARES UNDER THE CONTROL OF THE DIRECTORS	Management	For	For
O.13	NON-BINDING ADVISORY VOTE ON REMUNERATION POLICY	Management	For	For
O.14	NON-BINDING ADVISORY VOTE ON REMUNERATION IMPLEMENTATION REPORT	Management	For	For
O.15	ADOPTION OF THE REMGRO LIMITED CONDITIONAL SHARE PLAN	Management	For	For
O.16	ADOPTION OF THE REMGRO LIMITED SHARE APPRECIATION RIGHTS PLAN	Management	For	For
S.1	APPROVAL OF DIRECTORS' REMUNERATION	Management	For	For
S.2	GENERAL AUTHORITY TO REPURCHASE SHARES	Management	For	For
S.3	GENERAL AUTHORITY TO PROVIDE FINANCIAL ASSISTANCE FOR THE SUBSCRIPTION AND/OR PURCHASE OF SECURITIES IN THE COMPANY OR IN RELATED OR INTER-RELATED COMPANIES	Management	For	For

## City of London Voting Record November 2018

S.4	GENERAL AUTHORITY TO PROVIDE FINANCIAL ASSISTANCE TO RELATED AND INTER-RELATED COMPANIES AND CORPORATIONS	Management	For	For
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