

City of London Voting Record

SOCIETATEA DE INVESTITII FINANCIARE MOLDOVA SA, BA

Security	X7844V100	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	04-Apr-2017
ISIN	ROSIFBACNOR0	Agenda	707848694 - Management
Record Date	21-Mar-2017	Holding Recon Date	21-Mar-2017
City / Country	BACAU / Romania	Vote Deadline Date	28-Mar-2017
SEDOL(s)	7063910 - B28KW76	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF EGMS SECRETARIAT FROM AMONG THE SHAREHOLDERS OF THE COMPANY, ACCORDING TO ART. 129, LINE (2) LAW NO. 31/1990 REGARDING COMPANIES: NICOLAESCU GEORGE CATALIN	Management	For	For
1.2	ELECTION OF EGMS SECRETARIAT FROM AMONG THE SHAREHOLDERS OF THE COMPANY, ACCORDING TO ART. 129, LINE (2) LAW NO. 31/1990 REGARDING COMPANIES: PUSCAS MICHAELA	Management	For	For
1.3	ELECTION OF EGMS SECRETARIAT FROM AMONG THE SHAREHOLDERS OF THE COMPANY, ACCORDING TO ART. 129, LINE (2) LAW NO. 31/1990 REGARDING COMPANIES: SOFIAN VIRGINIA	Management	For	For
2	APPROVAL OF THE RUN OF AN OWN SHARE BUY-BACK PROGRAM FOR THE PURPOSE OF REDUCING THE SHARE CAPITAL, WITH THE FOLLOWING MAIN CHARACTERISTICS: (I) PROGRAM PURPOSE: THE COMPANY WILL BUY BACK SHARES WITHIN THE PROGRAM IN ORDER TO REDUCE ITS SHARE CAPITAL, BY HAVING THE SHARES ANNULLED, ACCORDING TO APPLICABLE LEGAL REGULATIONS. (II) MAXIMUM NUMBER OF SHARES THAT CAN BE BOUGHT-BACK: 29.000.000 SHARES. III) MINIMUM PRICE PER SHARE: THE MINIMUM PURCHASE PRICE WILL BE THE BVB MARKET PRICE, FROM THE MOMENT THE PURCHASE IS MADE. (IV) MAXIMUM PRICE PER SHARE: 1, 5 LEI. (V) PROGRAM DURATION: MAXIMUM 12 MONTHS FROM THE DATE THE DECISION IS PUBLISHED IN ROMANIA'S OFFICIAL GAZETTE, PART IV. (VI). THE PAYMENT OF THE BOUGHT-BACK SHARES IS MADE FROM THE ASSIGNABLE PROFIT REGISTERED ON THE FINANCIAL STATEMENTS 2016, ACCORDING TO ART. 103 INDEX 1 LAW NO. 31/1990 REGARDING COMPANIES. (VII). SHARE PURCHASE WITHIN THE PROGRAM WILL BE MADE THROUGH ALL MARKET OPERATIONS ALLOWED BY THE LAW, WHICH MAY ALSO INCLUDE PUBLIC PURCHASE OFFERS	Management	For	For

City of London Voting Record

INITIATED BY THE COMPANY, ABIDING BY LEGAL PROVISIONS. MANDATES THE BOARD OF DIRECTORS, AND INDIVIDUALLY ITS MEMBERS TO ADOPT ALL DECISIONS NEEDED TO FULFILL THE RESOLUTION REGARDING THE RUNNING OF THE SHARE BUY-BACK PROGRAM

3	<p>APPROVAL OF THE MODIFICATION OF ART. 7 LINE 3 THESIS 1 OF SIF MOLDOVA'S MEMORANDUM OF ASSOCIATION, AS FOLLOWS: CURRENT WORDING: "THE COMPANY IS MANAGED BY A BOARD OF DIRECTORS COMPRISED OF 7 MEMBERS, NATURAL INDIVIDUALS, ELECTED OR APPOINTED BY THE GENERAL ORDINARY MEETING OF SHAREHOLDERS FOR A PERIOD OF 4 YEARS, WITH THE POSSIBILITY OF BEING RE-ELECTED." MODIFICATION PROPOSAL: "THE COMPANY IS MANAGED BY A BOARD OF DIRECTORS COMPRISED OF 5 MEMBERS, NATURAL INDIVIDUALS, ELECTED OR APPOINTED BY THE GENERAL ORDINARY MEETING OF SHAREHOLDERS FOR A PERIOD OF 4 YEARS, WITH THE POSSIBILITY OF BEING RE-ELECTED."</p>	Management	For	For
4	<p>APPROVAL OF THE MODIFICATION OF ART. 7, LINE NO. 19 OF SIF MOLDOVA SA'S MEMORANDUM OF ASSOCIATION, BY ENTERING LETTER I) INDEX 1, WITH THE FOLLOWING CONTENT: "ART. 7, ART. 7, LINE. (19) THE BOARD OF DIRECTORS SHALL HAVE THE FOLLOWING ATTRIBUTIONS: I 1) ADOPTING THE PROPER MEASURES REGARDING THE SETTING AND APPLICATION OF CORPORATE GOVERNANCE CODE, REGARDING, WITHOUT LIMITATION TO, THE FOLLOWING: 1. SETTING THE RELEVANT CRITERIA FOR THE MONITORING OF THE ACTIVITY OF EXECUTIVE/HIGHER MANAGEMENT OF THE COMPANY AS A WHOLE, AS WELL AS ANNUAL EVALUATION OF THE CRITERIA APPLICATION METHOD; 2. ANALYSIS OF THE ADEQUACY, EFFICIENTLY AND UPDATE OF THE RISK MANAGEMENT SYSTEM IN ORDER TO EFFICIENTLY MANAGE ASSETS HELD, AS WELL AS THE ANALYSIS OF THE WAY THE RISKS THE COMPANY IS EXPOSED TO, ARE MANAGED; 3. INSURANCE OF THE ABIDANCE BY THE REQUIREMENTS REGARDING THE OUTSOURCING/ASSIGNMENT OF SOME OPERATIONAL ACTIVITIES OR FUNCTIONS, BOTH BEFORE THEY ARE CARRIED OUT AND DURING THE ENTIRE DURATION OF OUTSOURCING/ASSIGNMENT; 4. ANALYSIS AND SETTING REMUNERATION POLICIES, SO THAT THESE CORRESPOND TO THE BUSINESS STRATEGY, OBJECTIVES AND LONG-TERM INTERESTS AND INCLUDE MEASURES FOR THE PREVENTION OF CONFLICT OF INTERESTS; 5. INSURANCE OF THE DEVELOPMENT AND APPLICATION OF ETHICAL AND PROFESSIONAL STANDARDS TO INSURE A PROFESSIONAL AND RESPONSIBLE BEHAVIOR ON THE LEVEL OF THE</p>	Management	For	For

City of London Voting Record

COMPANY, IN ORDER TO PRESENT THE OCCURRENCE OF CONFLICT OF INTERESTS; 6. APPROVAL OF THE RISK APPETITE AND TOLERANCE LIMITS OF THE COMPANY, AS WELL AS THE PROCEDURES FOR THE IDENTIFICATION, EVALUATION, MONITORING, MANAGEMENT AND REPORTING OF SIGNIFICANT RISKS THAT THE COMPANY IS, OR COULD BE EXPOSED TO; 7. INSURANCE OF THE ELABORATION OF PLANS TO INSURE THE CONTINUITY OF ACTIVITY AND FOR EMERGENCY SITUATIONS AND THEIR HALF-YEARLY ASSESSMENT

5	APPROVAL OF THE DATE OF 21.04.2017 AS REGISTRATION DATE (EX-DATE: 20.04.2017) OF THE SHAREHOLDERS IMPACTED BY THE EFFECTS OF THE RESOLUTIONS ADOPTED BY THE EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS	Management	For	For
6	EMPOWERMENT OF THE BOARD OF DIRECTORS AND ITS INDIVIDUAL MEMBERS, TO FULFILL THE RESOLUTIONS ADOPTED BY THE EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS	Management	For	For

City of London Voting Record

SOCIETATEA DE INVESTITII FINANCIARE OLTENIA S.A.,

Security	X7843S108	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	04-Apr-2017
ISIN	ROSIFEACNOR4	Agenda	707853215 - Management
Record Date	23-Mar-2017	Holding Recon Date	23-Mar-2017
City / Country	CRAIOV / Romania A	Vote Deadline Date	28-Mar-2017
SEDOL(s)	7064098 - B28L3M1	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	ELECTION OF THE MEETING SECRETARY CONSISTING OF 3 MEMBERS, NAMELY MRS. BALTATEANU NADIA FLORENTINA - INTERNAL AUDITOR, MR. NEDELCU ION EUGEN AND MRS. BUCUR VASILICA WITH THE IDENTIFICATION DETAILS AVAILABLE AT THE COMPANY HEADQUARTER, MRS. BUCUR VASILICA FOLLOWING TO BE CHOSEN THE SECRETARY OF THE MEETING WHO WILL PREPARE THE MINUTES OF THE MEETING. THE PROPOSED PERSONS ARE SHAREHOLDERS OF S.I.F OLTENIA S.A	Management	For	For
2	ELECTION OF THE COMMISSION FOR COUNTING THE VOTES EXPRESSED IN OGSM, CONSISTING OF THREE MEMBERS, NAMELY MR. PAUNA IOAN, MR. PATRICHI ION AND MRS. TALEA MIHAELA, WITH THE IDENTIFICATION DETAILS AVAILABLE AT THE COMPANY HEADQUARTER. THE PROPOSED PERSONS ARE SHAREHOLDERS OF S.I.F OLTENIA S.A	Management	For	For
3	PRESENTATION AND APPROVAL OF STANDALONE FINANCIAL STATEMENTS OF THE YEAR 2016, PREPARED IN ACCORDANCE WITH IFRS, BASED ON THE REPORT OF THE BOARD OF ADMINISTRATORS AND THE AUDITOR'S FINANCIAL REPORT. LOSS FROM UPGRADING TO HYPERINFLATION IN ACCORDANCE WITH IAS 29 "FINANCIAL REPORTING IN HYPERINFLATIONARY ECONOMIES" IN THE AMOUNT OF 2,438,631,884.98 LEI, REFLECTED IN THE FINANCIAL STATEMENTS OF THE YEARS 2015 RESPECTIVELY 2016, ACCORDING TO THE ACCOUNTING REGULATIONS OF FSA RULE NO. 39/2015, IS PROPOSED TO BE COVERED FROM OTHER ELEMENTS OF EQUITY. COVERAGE IN QUESTION DOES NOT CHANGE THE EQUITY, DOES NOT INFLUENCE THE PROFIT AND LOSS ACCOUNT OF THE COMPANY AND IS IN LINE WITH ART. 91 AND 92 FROM RULE NO. 39/2015 OF FSA	Management	For	For

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4.I	<p>APPROVAL OF THE ALLOCATION OF NET PROFIT IN THE AMOUNT OF 101,271,376.81 LEI AS PROPOSED BY THE BOARD OF ADMINISTRATORS, ON THE FOLLOWING DESTINATIONS IN OPTION: DISTRIBUTION OF DIVIDENDS: GROSS DIVIDEND OF 0.0815 LEI PER SHARE. I.1 DIVIDENDS: 47,283,505.69 LEI (46.69 % OF NET PROFIT). I.2 OWN RESOURCES OF DEVELOPMENT: 53,987,871.12 LEI (53.31 % OF NET PROFIT). PROPOSED DIVIDEND PROVIDES TO THE SHAREHOLDERS A REMUNERATION RATE OF 5,3% CALCULATED AS THE AVERAGE TRADING PRICE OF SHARES IN 2016 (1.5357 LEI/SHARE) AND OF 5% CALCULATED ON THE CLOSING PRICE FOR 2016 (1.63 LEI/SHARE). APPROVAL OF THE DATE OF AUGUST 1, 2017 AS THE DIVIDEND PAYMENT DATE IN ACCORDANCE WITH ART. 1293 PA.(2) OF THE R.N.S.C REGULATION NO. 1/2006, WITH SUBSEQUENT AMENDMENTS AND COMPLETIONS. THE DISTRIBUTION OF DIVIDENDS TO SHAREHOLDERS WILL BE MADE IN ACCORDANCE WITH THE LEGAL PROVISIONS RELATING TO THE PAYMENT COSTS BEING BORNE BY SHAREHOLDERS FROM NET DIVIDEND AMOUNT</p>	Management	Abstain	Against
4.II	<p>APPROVAL OF THE ALLOCATION OF NET PROFIT IN THE AMOUNT OF 101,271,376.81 LEI. AS PROPOSED BY THE BOARD OF ADMINISTRATORS, ON THE FOLLOWING DESTINATIONS IN OPTION: SHARES BUY-BACK PROGRAM: II.1 RESERVE FUND: 72,520,715 LEI (71.61 % OF NET PROFIT) FOR THE BUY-BACK OF 29,008,286 SHARES REPRESENTING 5 % OF COMPANY SHARE CAPITAL. THE COMPANY WILL CARRY OUT THE BUY-BACK PROGRAM IN ACCORDANCE WITH E.C. REGULATION NO. 2273/2003 IN ORDER TO REDUCE THE SHARE CAPITAL BY CANCELING THEM. THE MINIMUM PRICE PER SHARE: 1.4 LEI. THE MAXIMUM PRICE PER SHARE: 2.5 LEI. PROGRAM DURATION: 12 MONTHS FROM THE DATE OF PUBLICATION OF THE DECISION IN THE OFFICIAL GAZETTE OF ROMANIA, PART IV II.2 OWN SOURCES OF COMPANY DEVELOPMENT: 28,750,661.81 LEI (28.39 % OF NET PROFIT) IN CASE OF APPROVAL OF THIS OPTION OF NET PROFIT DISTRIBUTION, THE BOARD OF ADMINISTRATORS OF THE COMPANY WILL CALL, ORGANIZE AND RUN THE E.G.S.M WHO WILL DECIDE, IN ACCORDANCE WITH THE LEGAL FRAMEWORK, THE CARRYING OUT OF THE BUY-BACK OF SHARES PROGRAM WITH A CORRESPONDING REDUCTION OF THE SHARE CAPITAL OF THE COMPANY</p>	Management	For	For
5	<p>APPROVAL OF THE DISCHARGE OF THE ADMINISTRATORS FOR THEIR ACTIVITY IN THE FISCAL YEAR 2016</p>	Management	For	For
6	<p>PRESENTATION AND APPROVAL OF THE INCOME AND EXPENSES BUDGET FOR 2017 AND THE STRATEGY FOR 2017</p>	Management	For	For

City of London Voting Record

7	APPROVAL OF RECORDING IN THE FISCAL YEAR 2017 OF THE ACCOUNTING REGISTRATION IN "INCOMES" OF THE DIVIDENDS UNCLAIMED FOR MORE THAN THREE YEARS AFTER THEIR CHARGEABILITY, FOR WHICH THE RIGHT TO SHARES IS ENDED BY PRESCRIPTION, RESPECTIVELY THE DIVIDENDS FOR THE FINANCIAL YEAR OF 2013, EXISTING IN THE BALANCE AS NOT PAID ON 01.10.2017	Management	For	For
8.1	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: CIUREZU TUDOR	Management		
8.2	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: RADU ANINA	Management		
8.3	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: BUSU CRISTIAN	Management	For	For
8.4	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: STOIAN NICOLAE	Management		
8.5	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: POPA CARMEN	Management		
8.6	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: BERCEANU DOREL	Management		
8.7	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: OANCEA MIRCEA	Management		
8.8	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: HANGA RADU	Management	For	For
8.9	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: APOSTOL SORIN	Management	For	For
8.10	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: AVRAM IOANA - VALERIA	Management	For	For

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8.11	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: FILIP STEFAN	Management	For	For
8.12	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: CAPITANU VICTOR	Management		
8.13	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: MICU CRISTIAN	Management		
8.14	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: GIUGIUMICA LIVIU	Management		
8.15	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: ILIESCU BOGDAN GEORGE	Management	For	For
8.16	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: CRETU GABRIEL	Management		
8.17	ELECTION OF THE BOARD OF ADMINISTRATOR OF S.I.F OLTENIA S.A. FOR A MANDATE OF 4 YEARS STARTING FROM THE DATE OF 21.04.2017: BOBIRCA ANA BARBARA	Management	For	For
9	MAINTAINING, DURING THE MANDATE OF 4 YEARS, THE RIGHTS OF THE BOARD OF ADMINISTRATORS MEMBERS FOR EXERCISING THE MANDATE OF ADMINISTRATOR OF SIF OLTENIA SA AND THE RIGHTS OF THE SENIOR MANAGEMENT MEMBERS (GENERAL MANAGER AND DEPUTY GENERAL MANAGER) AT THE LEVEL ESTABLISHED BY THE S.I.F. OLTENIA S.A. O.G.S.M. DECISION NO. 5 / 20.04.2013, PUBLISHED IN THE OFFICIAL GAZETTE PART IV, NO. 2562/15.05.2013	Management	For	For
10	APPROVAL OF THE DATE OF 10.07.2017 AS REGISTRATION DATE (EX-DATE: 07.07.2017), IN ACCORDANCE WITH APPLICABLE LEGAL PROVISIONS	Management	For	For

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SOCIETATEA DE INVESTITII FINANCIARE MOLDOVA SA, BA

Security	X7844V100	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	04-Apr-2017
ISIN	ROSIFBACNOR0	Agenda	707873445 - Management
Record Date	21-Mar-2017	Holding Recon Date	21-Mar-2017
City / Country	BACAU / Romania	Vote Deadline Date	28-Mar-2017
SEDOL(s)	7063910 - B28KW76	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF THE SECRETARY OF MEETING OGMS BETWEEN THE SHAREHOLDERS OF THE COMPANY ACCORDING TO ART. 129, LINE. (2) OF LAW NO. 31/1990 REGARDING COMPANIES: NICOLAESCU GEORGE CATALIN	Management	For	For
1.2	ELECTION OF THE SECRETARY OF MEETING OGMS BETWEEN THE SHAREHOLDERS OF THE COMPANY ACCORDING TO ART. 129, LINE. (2) OF LAW NO. 31/1990 REGARDING COMPANIES: PUSCAS MICHAELA	Management	For	For
1.3	ELECTION OF THE SECRETARY OF MEETING OGMS BETWEEN THE SHAREHOLDERS OF THE COMPANY ACCORDING TO ART. 129, LINE. (2) OF LAW NO. 31/1990 REGARDING COMPANIES: SOFIAN VIRGINIA	Management	For	For
2	APPROVAL OF THE ACTIVITY REPORT OF THE BOARD OF DIRECTORS FOR FINANCIAL YEAR 2016 INCLUDING THE "CORPORATE GOVERNANCE CODE" OF SIF MOLDOVA, REVISED AND IN COMPLIANCE WITH THE PROVISIONS OF FSA REGULATION NO. 2/2016	Management	For	For
3	APPROVAL OF INDIVIDUAL FINANCIAL STATEMENTS (IFRS) FOR FINANCIAL YEAR 2016, ACCOMPANIED BY THE OPINION OF THE FINANCIAL AUDITOR. - TOTAL REVENUE 212.040.222 LEI - PROFIT TAX 10.505.003 LEI - TOTAL EXPENSES 78.237.199 LEI - NET PROFIT 123.298.020 LEI - PROFIT BEFORE TAXATION 133.803.023 LEI	Management	For	For
4	APPROVAL OF THE ASSIGNMENT ON DESTINATION OF THE NET PROFILE ACHIEVED IN FINANCIAL YEAR 2016; - NET PROFIT TO BE ASSIGNED 123.298.020 LEI - DIVIDENDS 44.975.884 LEI - LEGAL RESERVES 1.545.865 LEI - OTHER RESERVES 76.776.271 LEI APPROVAL: GROSS DIVIDEND/SHARE 0,044 LEI; THE COSTS CORRESPONDING TO THE PAYMENTS ARE BORNE FROM THE VALUE OF NET DIVIDEND; PAYMENT IS MADE THROUGH THE CENTRAL DEPOSITORY AND PAYMENT AGENT BANCA TRANSILVANIA, IN ORDER TO ENSURE THE	Management	For	For

City of London Voting Record

CONTINUITY OF THE DIVIDEND PAYMENT PROCESS FOR THE SHAREHOLDERS OF SIF MOLDOVA. APPROVAL OF THE DATE OF 12.09.2017 AS REGISTRATION DATE (EX-DATE 11.09.2017) AND DATE OF 02.10.2017 AS PAYMENT DATE CORRESPONDING TO THE DIVIDEND

5	APPROVAL OF THE EXPIRY OF DIVIDENDS FOR FINANCIAL YEAR 2013 SET BY OGMS ON 15.04.2014, UNCOLLECTED BY 14.07.2017 AND THEIR REGISTRATION ACCORDING TO APPLICABLE REGULATIONS	Management	For	For
6	APPROVAL OF THE DISCHARGE OF OFFICE FOR THE MANAGERS, FOR FINANCIAL YEAR 2016	Management	For	For
7	APPROVAL OF 2017 ACTIVITY PROGRAM, IN COMPLIANCE WITH THE 2014 - 2018 STATEMENT OF INVESTMENT POLICIES (OGMS RESOLUTION NO. 8/15.04.2014). APPROVAL OF THE REVENUE AND EXPENSE BUDGET FOR FINANCIAL YEAR 2017. - TOTAL REVENUE: 157.458.164 LEI - GROSS PROFIT: 109.833.073 LEI - TOTAL EXPENSES: 47.625.091 LEI - NET PROFIT: 95.049.774 LEI	Management	For	For
8.1.1	ELECTION OF THE BOARD OF DIRECTOR FOR A FOUR YEARS' MANDATE (2017-2021) WHILE MAINTAINING FOR THE MANDATE DURATION THE ADMINISTRATION CONTRACT AND MANAGEMENT CONTRACTS APPROVED THROUGH THE RESOLUTIONS OF EGMS NO. 2/01.04.2015 PUBLISHED IN ROMANIA'S OFFICIAL GAZETTE PART IV NO. 2207 OF 29.04.2015; OGMS NO. 15, 16 AND 17 OF 05.04.2013 ROMANIA'S OFFICIAL GAZETTE PART IV NO.. 2213 OF 22.04.2013: CEOCEA COSTEL	Management	Against	Against
8.1.2	ELECTION OF THE BOARD OF DIRECTOR FOR A FOUR YEARS' MANDATE (2017-2021) WHILE MAINTAINING FOR THE MANDATE DURATION THE ADMINISTRATION CONTRACT AND MANAGEMENT CONTRACTS APPROVED THROUGH THE RESOLUTIONS OF EGMS NO. 2/01.04.2015 PUBLISHED IN ROMANIA'S OFFICIAL GAZETTE PART IV NO. 2207 OF 29.04.2015; OGMS NO. 15, 16 AND 17 OF 05.04.2013 ROMANIA'S OFFICIAL GAZETTE PART IV NO.. 2213 OF 22.04.2013: CIORCILA HORIA	Management	For	For
8.1.3	ELECTION OF THE BOARD OF DIRECTOR FOR A FOUR YEARS' MANDATE (2017-2021) WHILE MAINTAINING FOR THE MANDATE DURATION THE ADMINISTRATION CONTRACT AND MANAGEMENT CONTRACTS APPROVED THROUGH THE RESOLUTIONS OF EGMS NO. 2/01.04.2015 PUBLISHED IN ROMANIA'S OFFICIAL GAZETTE PART IV NO. 2207 OF 29.04.2015; OGMS NO. 15, 16 AND 17 OF 05.04.2013 ROMANIA'S OFFICIAL GAZETTE PART IV NO.. 2213 OF 22.04.2013: DOROS LIVIU-CLAUDIU	Management	Against	Against

City of London Voting Record

8.1.4	ELECTION OF THE BOARD OF DIRECTOR FOR A FOUR YEARS' MANDATE (2017-2021) WHILE MAINTAINING FOR THE MANDATE DURATION THE ADMINISTRATION CONTRACT AND MANAGEMENT CONTRACTS APPROVED THROUGH THE RESOLUTIONS OF EGMS NO. 2/01.04.2015 PUBLISHED IN ROMANIA'S OFFICIAL GAZETTE PART IV NO. 2207 OF 29.04.2015; OGMS NO. 15, 16 AND 17 OF 05.04.2013 ROMANIA'S OFFICIAL GAZETTE PART IV NO.. 2213 OF 22.04.2013: IANCU CATALIN-JIANU-DAN	Management	For	For
8.1.5	ELECTION OF THE BOARD OF DIRECTOR FOR A FOUR YEARS' MANDATE (2017-2021) WHILE MAINTAINING FOR THE MANDATE DURATION THE ADMINISTRATION CONTRACT AND MANAGEMENT CONTRACTS APPROVED THROUGH THE RESOLUTIONS OF EGMS NO. 2/01.04.2015 PUBLISHED IN ROMANIA'S OFFICIAL GAZETTE PART IV NO. 2207 OF 29.04.2015; OGMS NO. 15, 16 AND 17 OF 05.04.2013 ROMANIA'S OFFICIAL GAZETTE PART IV NO.. 2213 OF 22.04.2013: RADU OCTAVIAN CLAUDIU	Management	For	For
8.1.6	ELECTION OF THE BOARD OF DIRECTOR FOR A FOUR YEARS' MANDATE (2017-2021) WHILE MAINTAINING FOR THE MANDATE DURATION THE ADMINISTRATION CONTRACT AND MANAGEMENT CONTRACTS APPROVED THROUGH THE RESOLUTIONS OF EGMS NO. 2/01.04.2015 PUBLISHED IN ROMANIA'S OFFICIAL GAZETTE PART IV NO. 2207 OF 29.04.2015; OGMS NO. 15, 16 AND 17 OF 05.04.2013 ROMANIA'S OFFICIAL GAZETTE PART IV NO.. 2213 OF 22.04.2013: PANA ROBERT-COSMIN	Management	For	For
8.1.7	ELECTION OF THE BOARD OF DIRECTOR FOR A FOUR YEARS' MANDATE (2017-2021) WHILE MAINTAINING FOR THE MANDATE DURATION THE ADMINISTRATION CONTRACT AND MANAGEMENT CONTRACTS APPROVED THROUGH THE RESOLUTIONS OF EGMS NO. 2/01.04.2015 PUBLISHED IN ROMANIA'S OFFICIAL GAZETTE PART IV NO. 2207 OF 29.04.2015; OGMS NO. 15, 16 AND 17 OF 05.04.2013 ROMANIA'S OFFICIAL GAZETTE PART IV NO.. 2213 OF 22.04.2013: BLAJUT IONEL-OLIMPIU	Management	For	For
9	APPROVAL OF THE APPOINTING OF "DELOITTE AUDIT" SRL AS FINANCIAL AUDITOR FOR A PERIOD OF 2 YEARS (2017-2019)	Management	For	For
10	APPROVAL OF THE DATE OF 21.04.2017 AS REGISTRATION DATE (EX-DATE 20.04.2017) FOR THE SHAREHOLDERS IMPACTED BY THE EFFECTS ADOPTED BY THE ORDINARY GENERAL MEETING OF SHAREHOLDERS, WITH THE EXCEPTION OF THE RESOLUTION REGARDING DIVIDEND	Management	For	For

City of London Voting Record

11	MANDATING THE BOARD OF DIRECTORS AND INDIVIDUALLY OF ITS MEMBERS IN ORDER TO FULFIL THE RESOLUTIONS ADOPTED BY THE ORDINARY GENERAL MEETING OF SHAREHOLDERS	Management	For	For
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City of London Voting Record

NUVEEN GLOBAL HIGH INCOME FUND

Security	67075G103	Meeting Type	Annual
Ticker Symbol	JGH	Meeting Date	06-Apr-2017
ISIN	US67075G1031	Agenda	934535595 - Management
Record Date	07-Feb-2017	Holding Recon Date	07-Feb-2017
City / Country	/ United States	Vote Deadline Date	05-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1B.	DIRECTOR	Management		
	1 WILLIAM ADAMS IV		Withheld	Against
	2 DAVID J. KUNDERT		Withheld	Against
	3 JOHN K. NELSON		Withheld	Against
	4 TERENCE J. TOTH		Withheld	Against

City of London Voting Record

NUVEEN QUALITY PREFERRED INCOME FUND 2

Security	67072C105	Meeting Type	Annual
Ticker Symbol	JPS	Meeting Date	06-Apr-2017
ISIN	US67072C1053	Agenda	934535595 - Management
Record Date	07-Feb-2017	Holding Recon Date	07-Feb-2017
City / Country	/ United States	Vote Deadline Date	05-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1B.	DIRECTOR	Management		
	1 WILLIAM ADAMS IV		Withheld	Against
	2 DAVID J. KUNDERT		Withheld	Against
	3 JOHN K. NELSON		Withheld	Against
	4 TERENCE J. TOTH		Withheld	Against

City of London Voting Record

NUVEEN INVESTMENTS

Security	670735109	Meeting Type	Annual
Ticker Symbol	JLS	Meeting Date	06-Apr-2017
ISIN	US6707351093	Agenda	934535595 - Management
Record Date	07-Feb-2017	Holding Recon Date	07-Feb-2017
City / Country	/ United States	Vote Deadline Date	05-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1B.	DIRECTOR	Management		
	1	WILLIAM ADAMS IV	Withheld	Against
	2	DAVID J. KUNDERT	Withheld	Against
	3	JOHN K. NELSON	Withheld	Against
	4	TERENCE J. TOTH	Withheld	Against

City of London Voting Record

NUVEEN PREFERED & CONVERTIBLE INCOME 2

Security	67073D102	Meeting Type	Annual
Ticker Symbol	JQC	Meeting Date	06-Apr-2017
ISIN	US67073D1028	Agenda	934535595 - Management
Record Date	07-Feb-2017	Holding Recon Date	07-Feb-2017
City / Country	/ United States	Vote Deadline Date	05-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1B.	DIRECTOR	Management		
	1 WILLIAM ADAMS IV		Withheld	Against
	2 DAVID J. KUNDERT		Withheld	Against
	3 JOHN K. NELSON		Withheld	Against
	4 TERENCE J. TOTH		Withheld	Against

City of London Voting Record

NUVEEN NASDAQ 100 DYNAMIC OVERWRITE FUND

Security	670699107	Meeting Type	Annual
Ticker Symbol	QQQX	Meeting Date	06-Apr-2017
ISIN	US6706991071	Agenda	934535595 - Management
Record Date	07-Feb-2017	Holding Recon Date	07-Feb-2017
City / Country	/ United States	Vote Deadline Date	05-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1B.	DIRECTOR	Management		
	1 WILLIAM ADAMS IV		Withheld	Against
	2 DAVID J. KUNDERT		Withheld	Against
	3 JOHN K. NELSON		Withheld	Against
	4 TERENCE J. TOTH		Withheld	Against

City of London Voting Record

NUVEEN INVESTMENTS

Security	670671106	Meeting Type	Annual
Ticker Symbol	NID	Meeting Date	06-Apr-2017
ISIN	US6706711065	Agenda	934535608 - Management
Record Date	07-Feb-2017	Holding Recon Date	07-Feb-2017
City / Country	/ United States	Vote Deadline Date	05-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	DIRECTOR	Management		
	1	WILLIAM ADAMS IV	Withheld	Against
	2	DAVID J. KUNDERT	Withheld	Against
	3	JOHN K. NELSON	Withheld	Against
	4	TERENCE J. TOTH	Withheld	Against

City of London Voting Record

LEGG MASON

Security	184691103	Meeting Type	Annual
Ticker Symbol	CBA	Meeting Date	07-Apr-2017
ISIN	US1846911030	Agenda	934544013 - Management
Record Date	23-Jan-2017	Holding Recon Date	23-Jan-2017
City / Country	/ United States	Vote Deadline Date	06-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 CAROL L. COLMAN		Withheld	Against
	2 DANIEL P. CRONIN		Withheld	Against

City of London Voting Record

PAMPA ENERGIA S.A.

Security	697660207	Meeting Type	Annual
Ticker Symbol	PAM	Meeting Date	07-Apr-2017
ISIN	US6976602077	Agenda	934573090 - Management
Record Date	20-Mar-2017	Holding Recon Date	20-Mar-2017
City / Country	/ United States	Vote Deadline Date	04-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	APPOINTMENT OF SHAREHOLDERS TO APPROVE AND SIGN THE MINUTES OF THE MEETING.	Management	For	For
2.	CONSIDERATION OF THE STATEMENTS OF FINANCIAL POSITION, COMPREHENSIVE INCOME, CHANGES IN SHAREHOLDERS' EQUITY AND CASH FLOW, THE NOTES TO SUCH STATEMENTS, THE EXTERNAL AUDITOR'S REPORT, SUPERVISORY COMMITTEE'S REPORT, ANNUAL REPORT AND CORPORATE GOVERNANCE CODE COMPLIANCE REPORT, THE INFORMATIVE SUMMARY AS REQUIRED BY THE RULES OF THE ARGENTINE SECURITIES COMMISSION AND THE ADDITIONAL INFORMATION REQUIRED UNDER THE LISTING RULES OF MERCADO DE VALORES DE BUENOS AIRES, ALL FOR THE FISCAL YEAR ENDED DECEMBER 31, 2016.	Management	For	For
3.	CONSIDERATION OF THE RESULTS FOR THE FISCAL YEAR AND THE ALLOCATION THEREOF (WHEN DISCUSSING THIS ITEM, THE MEETING SHALL BE HELD AS AN EXTRAORDINARY MEETING).	Management	For	For
4.	CONSIDERATION OF THE ACTIONS OF BOARD AND SUPERVISORY COMMITTEE MEMBERS.	Management	For	For
5.	CONSIDERATION OF THE REMUNERATION OF THE BOARD OF DIRECTORS AND SUPERVISORY COMMITTEE FOR THE FISCAL YEAR ENDED DECEMBER 31, 2016.	Management	For	For
6.	CONSIDERATION OF THE REMUNERATION OF THE EXTERNAL AUDITOR.	Management	For	For
7.	APPOINTMENT OF DIRECTORS AND THE RESPECTIVE ALTERNATE DIRECTORS. DISTRIBUTION OF POSITIONS IN THE BOARD. APPOINTMENT OF ALTERNATE AUDIT COMMITTEE MEMBERS.	Management	For	For
8.	APPOINTMENT OF AN ALTERNATE SUPERVISORY AUDITOR.	Management	For	For
9.	APPOINTMENT OF THE EXTERNAL AUDITOR AND ALTERNATE EXTERNAL AUDITOR, WHO SHALL GIVE AN OPINION ON THE FINANCIAL STATEMENTS FOR THE FISCAL YEAR BEGUN ON JANUARY 1, 2017.	Management	For	For

City of London Voting Record

10.	DETERMINATION OF THE REMUNERATION OF THE EXTERNAL AUDITOR AND ALTERNATE EXTERNAL AUDITOR WHO SHALL GIVE AN OPINION ON THE FINANCIAL STATEMENTS FOR THE FISCAL YEAR BEGUN ON JANUARY 1, 2017.	Management	For	For
11.	CONSIDERATION OF THE ALLOCATION OF A BUDGET ITEM TO THE OPERATION OF THE AUDIT COMMITTEE.	Management	For	For
12.	CONSIDERATION OF AN AMENDMENT TO THE CORPORATE BYLAWS. APPROVAL OF THE AMENDED AND RESTATED BYLAWS (WHEN DISCUSSING THIS ITEM, THE MEETING SHALL BE HELD AS AN EXTRAORDINARY MEETING).	Management	For	For
13.	CONSIDERATION OF AN EXTENSION OF THE COMPANY'S GLOBAL NOTES PROGRAM (THE "NOTES PROGRAM"), TO INCREASE SUCH PROGRAM FROM ITS CURRENT AMOUNT OF US\$ 1,000,000,000 (U.S. DOLLARS ONE BILLION) (OR THE EQUIVALENT THEREOF IN OTHER CURRENCIES) TO US \$ 2,000,000,000 (U.S. DOLLARS TWO BILLION) (OR THE EQUIVALENT THEREOF IN OTHER CURRENCIES). CONSIDERATION OF A MODIFICATION OF THE TERMS AND CONDITIONS OF THE NOTES PROGRAM TO ENABLE THE ISSUANCE OF CONVERTIBLE NOTES THEREUNDER.	Management	For	For
14.	CONSIDERATION OF THE ISSUANCE OF CONVERTIBLE NOTES, WHICH WILL BE CONVERTIBLE INTO ORDINARY SHARES AND/OR AMERICAN DEPOSITARY SHARES ("ADRS") OF THE COMPANY FOR UP TO A PRINCIPAL AMOUNT OF US\$ 500,000,000 (U.S. DOLLARS FIVE HUNDRED MILLION) (OR THE EQUIVALENT THEREOF IN OTHER CURRENCIES) UNDER THE NOTES PROGRAM, AND ENTITLED TO DIVIDENDS AS FROM THE DATE OF EXERCISE OF THE RESPECTIVE CONVERSION RIGHT. INCREASE OF CAPITAL STOCK AND ISSUANCE OF NEW SHARES UPON AND TO THE EXTENT OF THE EXERCISE ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	Management	For	For
15.	DELEGATION TO THE BOARD OF DIRECTORS, WITH THE POWER TO DELEGATE IN TURN, OF THE MOST AMPLE POWERS IN RELATION TO ITEMS 13 AND 14 OF THE AGENDA. IMPLEMENTATION OF THE ISSUANCE OF SHARES CORRESPONDING TO THE CAPITAL INCREASE UPON THE EXERCISE OF CONVERSION RIGHTS, AND FILING OF AN APPLICATION FOR THE PUBLIC OFFERING OF THE SHARES AND/OR ADRS TO BE ISSUED PURSUANT TO AND UPON THE EXERCISE OF CONVERSION RIGHTS WITH THE ARGENTINE SECURITIES COMMISSION, THE BUENOS AIRES STOCK ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL).	Management	For	For

City of London Voting Record

16.	IN RESPECT OF THE SUBSCRIPTION OF ANY CONVERTIBLE NOTES THAT MAY BE ISSUED BY THE COMPANY, CONSIDERATION OF THE SUPPRESSION OF FIRST-REFUSAL AND ACCRETION RIGHTS IN RELATION THERETO; OR OF A REDUCTION OF THE FIRST-REFUSAL RIGHT EXERCISE PERIOD TO 10 DAYS AND SUPPRESSION OF ACCRETION RIGHTS; OR OF A REDUCTION OF THE FIRST-REFUSAL RIGHT EXERCISE PERIOD TO 10 DAYS (WHEN DISCUSSING THIS ITEM, THE MEETING SHALL BE HELD AS AN EXTRAORDINARY MEETING).	Management	For	For
17.	CONSIDERATION OF THE SUPPRESSION OF ANY PREFERENTIAL OFFER OF SHARES TO THE COMPANY'S SHAREHOLDERS AT THE TIME OF DISPOSITION OF THEIR OWN PURCHASED SHARES, UNDER SECTION 67 OF THE CAPITAL MARKET LAW, TO COMPLY WITH THE STOCK COMPENSATION PROGRAM APPROVED BY THE COMPANY'S BOARD OF DIRECTORS AT THE MEETING HELD ON FEBRUARY 8, 2017.	Management	For	For
18.	AUTHORIZATIONS TO BE GRANTED FOR THE PERFORMANCE OF PROCEEDINGS AND FILING OF DOCUMENTS AS NECESSARY TO OBTAIN THE RELEVANT REGISTRATIONS.	Management	For	For

City of London Voting Record

ABERDEEN EMERGING MARKETS INVESTMENT COMPANY LIMIT

Security	G0110W109	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	10-Apr-2017
ISIN	GG00B45L2K95	Agenda	707755471 - Management
Record Date		Holding Recon Date	06-Apr-2017
City / Country	ST / Guernsey PETER PORT	Vote Deadline Date	04-Apr-2017
SEDOL(s)	B45L2K9 - B58WB65	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND ADOPT THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 OCTOBER 2016, WITH THE REPORTS OF THE DIRECTORS AND AUDITORS THEREON	Management	For	For
2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT (EXCLUDING THE DIRECTORS' REMUNERATION POLICY) FOR THE YEAR ENDED 31 OCTOBER 2016	Management	For	For
3	TO APPROVE THE DIRECTORS' REMUNERATION POLICY FOR THE THREE YEARS ENDED 31 OCTOBER 2019	Management	For	For
4	TO ELECT HELEN GREEN AS A DIRECTOR	Management	For	For
5	TO RE-ELECT JOHN HAWKINS AS A DIRECTOR	Management	For	For
6	TO RE-APPOINT KPMG CHANNEL ISLANDS LIMITED AS AUDITOR TO THE COMPANY	Management	For	For
7	TO AUTHORISE THE DIRECTORS TO DETERMINE THE REMUNERATION OF KPMG CHANNEL ISLANDS LIMITED FOR THE FORTHCOMING FINANCIAL YEAR	Management	For	For
8	TO GIVE THE COMPANY THE AUTHORITY TO PURCHASE ITS OWN SHARES	Management	For	For
9	TO GIVE THE COMPANY THE AUTHORITY TO ALLOT NEW SHARES	Management	For	For
10	TO WAIVE MEMBERS' RIGHTS OF PRE-EMPTION OR SIMILAR RIGHTS WHICH THEY MAY HAVE UNDER THE COMPANY'S ARTICLES OF INCORPORATION OR OTHERWISE.	Management	For	For
11	TO ADOPT AMENDED ARTICLES OF INCORPORATION	Management	For	For

City of London Voting Record

LAW DEBENTURE CORP PLC, LONDON

Security	G53900125	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	11-Apr-2017
ISIN	GB0031429219	Agenda	707827866 - Management
Record Date		Holding Recon Date	07-Apr-2017
City / Country	LONDON / United Kingdom	Vote Deadline Date	05-Apr-2017
SEDOL(s)	3142921 - B3NFSY2 - B8BW3R8	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE REPORT OF THE DIRECTORS, THE STRATEGIC REPORT AND THE AUDITED ACCOUNTS FOR THE YEAR ENDED 31 DECEMBER 2016	Management	For	For
2	TO RECEIVE AND APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 31 DECEMBER 2016	Management	For	For
3	TO APPROVE THE CORPORATION'S REMUNERATION POLICY	Management	For	For
4	TO DECLARE A FINAL DIVIDEND OF 11.5P PER SHARE	Management	For	For
5	TO ELECT MICHAEL ADAMS AS A DIRECTOR	Management	For	For
6	TO RE-ELECT CHRISTOPHER SMITH AS A DIRECTOR	Management	For	For
7	TO RE-ELECT ROBERT LAING AS A DIRECTOR	Management	For	For
8	TO RE-ELECT MARK BRIDGEMAN AS A DIRECTOR	Management	For	For
9	TO RE-ELECT TIM BOND AS A DIRECTOR	Management	For	For
10	TO RE-APPOINT BDO LLP AS AUDITORS OF THE CORPORATION AND TO AUTHORISE THE AUDIT COMMITTEE TO DETERMINE THEIR REMUNERATION	Management	For	For
11	TO RENEW THE DIRECTORS' GENERAL AUTHORITY TO ALLOT SHARES	Management	For	For
12	TO APPROVE THE DISAPPLICATION OF STATUTORY PRE-EMPTION RIGHTS	Management	For	For
13	TO RENEW THE CORPORATION'S GENERAL AUTHORITY TO MAKE MARKET PURCHASES OF ITS OWN SHARES	Management	For	For
14	THAT A GENERAL MEETING OF THE CORPORATION, OTHER THAN AN ANNUAL GENERAL MEETING, MAY BE CALLED ON NOT LESS THAN 14 CLEAR DAYS' NOTICE	Management	For	For

City of London Voting Record

GENERAL AMERICAN INVESTORS COMPANY, INC.

Security	368802104	Meeting Type	Annual
Ticker Symbol	GAM	Meeting Date	12-Apr-2017
ISIN	US3688021043	Agenda	934536357 - Management
Record Date	17-Feb-2017	Holding Recon Date	17-Feb-2017
City / Country	/ United States	Vote Deadline Date	11-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 MR. BERENS		Withheld	Against
	2 MR. CULLMAN		Withheld	Against
	3 MR. DAVIDSON		Withheld	Against
	4 MS. DEL VILLAR		Withheld	Against
	5 MR. GORDAN		Withheld	Against
	6 MS. GOTBAUM		Withheld	Against
	7 MR. KNAFEL		Withheld	Against
	8 MR. PRIEST		Withheld	Against
	9 MR. SCHIRMER		Withheld	Against
2.	RATIFICATION OF THE SELECTION OF ERNST & YOUNG LLP AS AUDITORS.	Management	For	For

City of London Voting Record

THE TAIWAN FUND, INC.

Security	874036106	Meeting Type	Annual
Ticker Symbol	TWN	Meeting Date	18-Apr-2017
ISIN	US8740361063	Agenda	934543299 - Management
Record Date	24-Feb-2017	Holding Recon Date	24-Feb-2017
City / Country	/ United States	Vote Deadline Date	17-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 WILLIAM C. KIRBY		For	For
	2 MICHAEL F. HOLLAND		Withheld	Against
	3 ANTHONY KAI YIU LO		Withheld	Against
	4 SHELLEY RIGGER		For	For
	5 ANTHONY S. CLARK		Withheld	Against

City of London Voting Record

ALLIANZ TECHNOLOGY TRUST PLC, LONDON

Security	G0258S100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	19-Apr-2017
ISIN	GB0003390720	Agenda	707809933 - Management
Record Date		Holding Recon Date	13-Apr-2017
City / Country	LONDON / United Kingdom	Vote Deadline Date	11-Apr-2017
SEDOL(s)	0339072 - B0634B1	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND ADOPT THE ANNUAL FINANCIAL REPORT	Management	For	For
2	TO RE-ELECT ROBERT JEENS AS A DIRECTOR	Management	For	For
3	TO RE-ELECT RICHARD HOLWAY AS A DIRECTOR	Management	For	For
4	TO RE-APPOINT GRANT THORNTON UK LLP AS AUDITOR	Management	For	For
5	TO AUTHORISE THE DIRECTORS TO DETERMINE THE REMUNERATION OF THE AUDITOR	Management	For	For
6	TO RECEIVE AND APPROVE THE DIRECTORS' REMUNERATION IMPLEMENTATION REPORT	Management	For	For
7	TO RECEIVE AND APPROVE THE REMUNERATION POLICY REPORT OF THE COMPANY	Management	For	For
8	TO RENEW THE DIRECTORS' AUTHORITY TO ALLOT ORDINARY SHARES	Management	For	For
9	TO DISAPPLY PRE-EMPTION RIGHTS ON THE ALLOTMENT OF ORDINARY SHARES	Management	For	For
10	TO DISAPPLY PRE-EMPTION RIGHTS TO THE SALE OF TREASURY SHARES	Management	Against	Against
11	TO AUTHORISE THE COMPANY TO MAKE MARKET PURCHASES OF ORDINARY SHARES	Management	For	For

City of London Voting Record

BLACKSTONE FUNDS

Security	09257D102	Meeting Type	Annual
Ticker Symbol	BGX	Meeting Date	19-Apr-2017
ISIN	US09257D1028	Agenda	934539997 - Management
Record Date	21-Feb-2017	Holding Recon Date	21-Feb-2017
City / Country	/ United States	Vote Deadline Date	18-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 EDWARD H. D'ALELIO		Withheld	Against

City of London Voting Record

SIF 1 BANAT-CRISANA S.A., ARAD

Security	X7843V101	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	20-Apr-2017
ISIN	ROSIFAACNOR2	Agenda	707855168 - Management
Record Date	03-Apr-2017	Holding Recon Date	03-Apr-2017
City / Country	CALEA / Romania VICTORI EI	Vote Deadline Date	12-Apr-2017
SEDOL(s)	7063987 - B28F9G9	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	<p>APPROVAL OF THE AMENDMENT OF THE ARTICLES OF ASSOCIATION AS FOLLOWS: - ART. 7 PAR. (13) LETTER C) IS AMENDED TO READ AS FOLLOWS: "C) SUBMITS FOR THE APPROVAL OF THE GENERAL MEETING OF SHAREHOLDERS THE MODIFICATION OF BUDGET OF REVENUE AND EXPENDITURE, AS PER THE FLUCTUATIONS IN THE ECONOMY"; - ART. 7 PAR. (15) SHALL BE AMENDED TO READ AS FOLLOWS: "(15) THE BOARD OF DIRECTORS DELEGATES SOME OF ITS POWERS TO DIRECTORS (EXECUTIVES), ESTABLISHING AT THE SAME TIME THEIR REMUNERATION, WITHIN THE GENERAL LIMITS APPROVED BY THE GENERAL MEETING OF SHAREHOLDERS. COMPANY'S EXECUTIVES SHALL MEET THE MINIMUM REQUIREMENTS REGARDING INTEGRITY, QUALIFICATIONS AND EXPERIENCE AS PRESCRIBED BY THE REGULATIONS AND LAWS IN FORCE." - THE INTRODUCTION IN THE ART. 7 OF A NEW PARAGRAPH, PARAGRAPH (21), WHICH SHALL READ AS FOLLOWS: "(21) THE BOARD OF DIRECTORS HAS THE RESPONSIBILITY TO ENSURE THE ESTABLISHMENT AND IMPLEMENTATION OF CORPORATE GOVERNANCE PRINCIPLES REGARDING, BUT NOT LIMITED TO: A) THE STRATEGIC MANAGEMENT OF THE COMPANY AND FULFILLING THE SET OBJECTIVES; B) FORMULATION OF COMPANY'S BUSINESS PLAN AND EVALUATION OF THE FINANCIAL POSITION THEREOF; C) ENSURING AN ADEQUATE FRAMEWORK FOR THE VERIFICATION OF THE MANNER THE SPECIFIC LAW IS APPLIED CONCERNING THE SUBMITTING OF REPORTS TO REGULATORY AND SUPERVISORY ENTITY; D) THE ADEQUACY, EFFICIENCY AND UPDATE OF RISK MANAGEMENT SYSTEM; E) THE ADEQUACY, EFFICIENCY AND UPDATE OF INTERNAL CONTROL-COMPLIANCE SYSTEM, TO ENSURE ITS INDEPENDENCE FROM OPERATIONAL AND ORGANIZATIONAL AND SUPPORT STRUCTURES WITHIN THE COMPANY; F) COMPLIANCE WITH THE REQUIREMENTS CONCERNING OUTSOURCING /</p>	Management	For	For

City of London Voting Record

DELEGATING OPERATIONAL ACTIVITIES OR FUNCTIONS; G) ESTABLISHING AND REVIEWING THE REMUNERATION POLICY; H) ESTABLISHING THE COMMUNICATION STRATEGY WITH STAKEHOLDERS, COMPLYING WITH THE LEGAL REQUIREMENTS

2	APPROVAL OF MAY 12, 2017 AS THE REGISTRATION DATE (MAY 11, 2017 AS EX-DATE), IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 238 PAR. (1) OF LAW NO. 297/2004, CNVM REGULATION NO. 6/2009 AND CNVM REGULATION NO. 1/2006	Management	For	For
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City of London Voting Record

SIF 1 BANAT-CRISANA S.A., ARAD

Security	X7843V101	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	20-Apr-2017
ISIN	ROSIFAACNOR2	Agenda	707871439 - Management
Record Date	03-Apr-2017	Holding Recon Date	03-Apr-2017
City / Country	CALEA / Romania VICTORI EI	Vote Deadline Date	12-Apr-2017
SEDOL(s)	7063987 - B28F9G9	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	APPROVAL OF THE FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR 2016, BASED ON THE DISCUSSIONS AND REPORTS PRESENTED BY THE BOARD OF DIRECTORS, THE CHAIRMAN OF THE BOARD AND THE FINANCIAL AUDITOR	Management	For	For
2.1	APPROVAL OF: A) THE ALLOCATION OF THE NET PROFIT FOR THE FINANCIAL YEAR 2016, IN THE AMOUNT OF RON 81,362,701, ON THE FOLLOWING DESTINATIONS: DIVIDENDS RON 31,080,000, REPRESENTING RON 0.06 GROSS PER SHARE; OTHER RESERVES RON 50,282,701 B) THE PAYMENT COSTS ARE BORNE BY THE SHAREHOLDERS OF THE NET DIVIDEND AMOUNT. C) THE DIVIDEND PAYMENT TO THE SHAREHOLDERS IS MADE IN ACCORDANCE WITH THE LEGAL PROVISIONS. APPROVAL OF THE DATE OF SEPTEMBER 4, 2017, AS THE DIVIDEND PAYMENT DATE	Management	For	For
2.2	APPROVAL OF THE ALLOCATION OF THE NET PROFIT FOR THE FINANCIAL YEAR 2016, IN THE AMOUNT OF RON 81,362,701, TO OTHER RESERVES, FOR OWN FINANCING SOURCES	Management	Against	Against
3	APPROVAL OF THE DISCHARGE FROM LIABILITY OF THE BOARD OF DIRECTORS FOR THE FINANCIAL YEAR 2016	Management	For	For
4	APPROVAL OF THE BUDGET OF REVENUE AND EXPENDITURE AND THE ACTIVITY PLAN FOR THE YEAR 2017 PURSUANT TO THE PRESENTATION MATERIALS	Management	For	For
5	APPROVAL, FOR THE FINANCIAL YEAR 2017, OF MAINTAINING THE MONTHLY REMUNERATION DUE TO THE MEMBERS OF THE BOARD OF DIRECTORS IN THE AMOUNT APPROVED BY THE RESOLUTION OF THE ORDINARY GENERAL MEETING OF SHAREHOLDERS OF APRIL 26, 2016	Management	For	For

City of London Voting Record

6	APPROVAL OF THE GENERAL LIMITS OF ALL ADDITIONAL REMUNERATIONS SET FOR THE MEMBERS OF THE BOARD OF DIRECTORS TO 0.3% OF THE AVERAGE ANNUAL NET ASSET VALUE AND THE GENERAL LIMITS OF THE DIRECTORS' REMUNERATION TO 0.14% OF THE AVERAGE ANNUAL NET ASSET VALUE	Management	For	For
7.1	ELECTION OF THE BOARD OF DIRECTOR OF SIF BANAT-CRISANA, CONSISTING OF 5 MEMBERS, FOR A TERM OF OFFICE OF 4 YEARS, WITH EFFECT FROM THE DATE OF 24.04.2017, IN THE FOLLOWING COMPOSITION: BOGDAN ALEXANDRU DRAGOI	Management		
7.2	ELECTION OF THE BOARD OF DIRECTOR OF SIF BANAT-CRISANA, CONSISTING OF 5 MEMBERS, FOR A TERM OF OFFICE OF 4 YEARS, WITH EFFECT FROM THE DATE OF 24.04.2017, IN THE FOLLOWING COMPOSITION: OCTAVIAN AVRAMOIU	Management		
7.3	ELECTION OF THE BOARD OF DIRECTOR OF SIF BANAT-CRISANA, CONSISTING OF 5 MEMBERS, FOR A TERM OF OFFICE OF 4 YEARS, WITH EFFECT FROM THE DATE OF 24.04.2017, IN THE FOLLOWING COMPOSITION: ION STANCU	Management		
7.4	ELECTION OF THE BOARD OF DIRECTOR OF SIF BANAT-CRISANA, CONSISTING OF 5 MEMBERS, FOR A TERM OF OFFICE OF 4 YEARS, WITH EFFECT FROM THE DATE OF 24.04.2017, IN THE FOLLOWING COMPOSITION: DAN WEILER	Management	For	For
7.5	ELECTION OF THE BOARD OF DIRECTOR OF SIF BANAT-CRISANA, CONSISTING OF 5 MEMBERS, FOR A TERM OF OFFICE OF 4 YEARS, WITH EFFECT FROM THE DATE OF 24.04.2017, IN THE FOLLOWING COMPOSITION: IONEL-MARIAN CIUCIOI	Management	For	For
7.6	ELECTION OF THE BOARD OF DIRECTOR OF SIF BANAT-CRISANA, CONSISTING OF 5 MEMBERS, FOR A TERM OF OFFICE OF 4 YEARS, WITH EFFECT FROM THE DATE OF 24.04.2017, IN THE FOLLOWING COMPOSITION: MARCEL HEINZ PFISTER	Management	For	For
7.7	ELECTION OF THE BOARD OF DIRECTOR OF SIF BANAT-CRISANA, CONSISTING OF 5 MEMBERS, FOR A TERM OF OFFICE OF 4 YEARS, WITH EFFECT FROM THE DATE OF 24.04.2017, IN THE FOLLOWING COMPOSITION: RADU RAZVAN STRAUT	Management	For	For
7.8	ELECTION OF THE BOARD OF DIRECTOR OF SIF BANAT-CRISANA, CONSISTING OF 5 MEMBERS, FOR A TERM OF OFFICE OF 4 YEARS, WITH EFFECT FROM THE DATE OF 24.04.2017, IN THE FOLLOWING COMPOSITION: SORIN MARICA	Management	For	For
8	APPROVAL OF AUGUST 11, 2017 AS THE REGISTRATION DATE AND AUGUST 10, 2017 AS EX-DATE, IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 238 PAR. 1 OF CAPITAL MARKET LAW NO. 297/2004, CNVM REGULATION NO. 6/2009 AND CNVM REGULATION NO. 1/2006	Management	For	For

City of London Voting Record

EATON VANCE RISK-MGD DIV EQTY INCM FD

Security	27829G106	Meeting Type	Annual
Ticker Symbol	ETJ	Meeting Date	20-Apr-2017
ISIN	US27829G1067	Agenda	934534505 - Management
Record Date	07-Feb-2017	Holding Recon Date	07-Feb-2017
City / Country	/ United States	Vote Deadline Date	19-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 SCOTT E. ESTON		Withheld	Against
	2 THOMAS E. FAUST JR.		Withheld	Against
	3 CYNTHIA E. FROST		Withheld	Against
	4 SCOTT E. WENNERHOLM		Withheld	Against

City of London Voting Record

VIETNAM HOLDING LTD, GEORGE TOWN

Security	G9361X104	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	21-Apr-2017
ISIN	KYG9361X1043	Agenda	708000687 - Management
Record Date	19-Apr-2017	Holding Recon Date	19-Apr-2017
City / Country	GEORGE / Cayman Islands	Vote Deadline Date	17-Apr-2017
SEDOL(s)	B16GN32 - B17GC78 - B17MPD3	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	AMEND TERMS OF OUTSTANDING WARRANT	Management	For	For

City of London Voting Record

ADAMS DIVERSIFIED EQUITY FUND, INC.

Security	006212104	Meeting Type	Annual
Ticker Symbol	ADX	Meeting Date	21-Apr-2017
ISIN	US0062121043	Agenda	934532373 - Management
Record Date	03-Feb-2017	Holding Recon Date	03-Feb-2017
City / Country	/ United States	Vote Deadline Date	20-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 ENRIQUE R. ARZAC		Withheld	Against
	2 PHYLLIS O. BONANNO		Withheld	Against
	3 KENNETH J. DALE		For	For
	4 FREDERIC A. ESCHERICH		Withheld	Against
	5 ROGER W. GALE		Withheld	Against
	6 KATHLEEN T. MCGAHRAN		Withheld	Against
	7 CRAIG R. SMITH		Withheld	Against
	8 MARK E. STOECKLE		Withheld	Against
2.	THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT PUBLIC AUDITORS.	Management	For	For

City of London Voting Record

ADAMS NATURAL RESOURCES FUND, INC

Security	00548F105	Meeting Type	Annual
Ticker Symbol	PEO	Meeting Date	21-Apr-2017
ISIN	US00548F1057	Agenda	934532385 - Management
Record Date	03-Feb-2017	Holding Recon Date	03-Feb-2017
City / Country	/ United States	Vote Deadline Date	20-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 ENRIQUE R. ARZAC		Withheld	Against
	2 PHYLLIS O. BONANNO		Withheld	Against
	3 KENNETH J. DALE		For	For
	4 FREDERIC A. ESCHERICH		Withheld	Against
	5 ROGER W. GALE		Withheld	Against
	6 KATHLEEN T. MCGAHRAN		Withheld	Against
	7 CRAIG R. SMITH		Withheld	Against
	8 MARK E. STOECKLE		Withheld	Against
2.	THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT PUBLIC AUDITORS.	Management	For	For

City of London Voting Record

NB PRIVATE EQUITY PARTNERS LIMITED

Security	G64033106	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	24-Apr-2017
ISIN	GG00B1ZBD492	Agenda	707957075 - Management
Record Date		Holding Recon Date	20-Apr-2017
City / Country	ST / Guernsey PETER PORT	Vote Deadline Date	18-Apr-2017
SEDOL(s)	B1ZBD49 - B23GWD5 - B28ZZX8 - B4YY2S7	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
A	<p>IT IS HEREBY RESOLVED THAT THE COMPANY BE AND IS HEREBY AUTHORISED TO: (A) IMPLEMENT THE PROPOSALS DESCRIBED IN THE CIRCULAR; (B) APPLY FOR THE CLASS A SHARES TO BE ADMITTED TO THE OFFICIAL LIST AND TO TRADING ON THE PREMIUM SEGMENT; AND, CONDITIONAL UPON ADMISSION AND THE APPROVAL OF THE WRITTEN RESOLUTIONS: (C) ADOPT THE NEW ARTICLES PRODUCED TO THE CLASS A MEETING AND, FOR THE PURPOSES OF IDENTIFICATION, INITIALLED BY THE CHAIRMAN, AS THE NEW ARTICLES OF INCORPORATION OF THE COMPANY IN SUBSTITUTION FOR, AND TO THE EXCLUSION, IN THEIR ENTIRETY, OF, THE EXISTING ARTICLES AND THE CLASS A SHAREHOLDERS HEREBY SANCTION ANY VARIATION TO THEIR RIGHTS AS A CLASS OCCASIONED BY THE ADOPTION OF THE NEW ARTICLES; (D) ENTER INTO THE AMENDED AND RESTATED IMA (A DESCRIPTION OF WHICH IS SET OUT IN THE CIRCULAR); AND (E) ENTER INTO THE AMENDED AND RESTATED INVESTMENT PARTNERSHIP AGREEMENT (A DESCRIPTION OF WHICH IS SET OUT IN THE CIRCULAR)</p>	Management	For	For

City of London Voting Record

FIRST TRUST FUND

Security	33731K102	Meeting Type	Annual
Ticker Symbol	FEO	Meeting Date	24-Apr-2017
ISIN	US33731K1025	Agenda	934546093 - Management
Record Date	31-Jan-2017	Holding Recon Date	31-Jan-2017
City / Country	/ United States	Vote Deadline Date	21-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 ROBERT F. KEITH		Withheld	Against

City of London Voting Record

S.C. FONDUL PROPRIETATEA S.A., BUCHAREST

Security	34460G106	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	25-Apr-2017
ISIN	US34460G1067	Agenda	707858734 - Management
Record Date	31-Mar-2017	Holding Recon Date	31-Mar-2017
City / Country	BUCHAR / Romania EST	Vote Deadline Date	14-Apr-2017
SEDOL(s)	BWV69Y7 - BYMSXJ9	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	BOARD OF NOMINEES' PRESENTATION OF ITS ANNUAL REPORT	Non-Voting		
2	THE APPROVAL OF THE ANNUAL ACTIVITY REPORT OF THE SOLE DIRECTOR OF FONDUL PROPRIETATEA S.A. FOR THE FINANCIAL YEAR 2016, INCLUDING THE FINANCIAL STATEMENTS FOR THE YEAR ENDED ON 31 DECEMBER 2016 PREPARED IN ACCORDANCE WITH THE INTERNATIONAL FINANCIAL REPORTING STANDARDS AS ADOPTED BY THE EUROPEAN UNION, THE APPROVAL OF THE AUDITOR'S REPORT (ALL AS PRESENTED IN THE SUPPORTING DOCUMENTATION), THE RATIFICATION OF ALL LEGAL ACTS CONCLUDED, ADOPTED OR ISSUED ON BEHALF OF FONDUL PROPRIETATEA S.A. THROUGH ITS SOLE DIRECTOR AND/OR ITS INVESTMENT MANAGER, AS WELL AS OF ANY MANAGEMENT/ADMINISTRATION MEASURES ADOPTED, IMPLEMENTED, APPROVED OR CONCLUDED DURING 2016, AND THE DISCHARGE OF SOLE DIRECTOR (FRANKLIN TEMPLETON INVESTMENT MANAGEMENT UK BUCHAREST BRANCH FOR PERIOD 1 JANUARY - 31 MARCH 2016 AND FRANKLIN TEMPLETON INTERNATIONAL SERVICES S.A R.L. FOR PERIOD 1 APRIL - 31 DECEMBER 2016) FOR ANY LIABILITY FOR ITS ADMINISTRATION DURING 2016 FINANCIAL YEAR	Management	For	For
3	THE APPROVAL OF THE NET PROFIT ALLOCATION REGISTERED FOR THE FINANCIAL YEAR 2016, AS PRESENTED IN THE SUPPORTING DOCUMENTATION	Management	For	For
4	IN ACCORDANCE WITH ARTICLE 1292 OF REGULATION NO. 1/2006, THE APPROVAL OF 22 MAY 2017 AS THE EX - DATE, COMPUTED IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 2 PARAGRAPH (2) LETTER F1) OF REGULATION NO. 1/2006, AND 23 MAY 2017 AS THE REGISTRATION DATE, COMPUTED IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 238 PARAGRAPH (1) OF CAPITAL MARKET LAW NO. 297/2004. AS THEY ARE	Management	For	For

City of London Voting Record

NOT APPLICABLE TO THIS OGM, THE SHAREHOLDERS DO NOT DECIDE ON THE DATE OF THE GUARANTEED PARTICIPATION, AS DEFINED BY ARTICLE 2 LETTER F1) OF REGULATION NO. 6/2009, AND ON THE PAYMENT DATE, AS DEFINED BY ARTICLE 2 LETTER G) OF REGULATION NO. 6/2009

5	THE EMPOWERMENT, WITH AUTHORITY TO BE SUBSTITUTED, OF GRZEGORZ MACIEJ KONIECZNY TO SIGN THE SHAREHOLDERS' RESOLUTIONS, AS WELL AS ANY OTHER DOCUMENTS IN CONNECTION THEREWITH, AND TO CARRY OUT ALL PROCEDURES AND FORMALITIES SET OUT BY LAW FOR THE PURPOSE OF IMPLEMENTING THE SHAREHOLDERS' RESOLUTION, INCLUDING FORMALITIES FOR PUBLICATION AND REGISTRATION THEREOF WITH THE TRADE REGISTRY OR WITH ANY OTHER PUBLIC INSTITUTION	Management	For	For
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City of London Voting Record

FOREIGN & COLONIAL INVESTMENT TRUST PLC, LONDON

Security	G36260100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	25-Apr-2017
ISIN	GB0003466074	Agenda	707861147 - Management
Record Date		Holding Recon Date	21-Apr-2017
City / Country	LONDON / United Kingdom	Vote Deadline Date	19-Apr-2017
SEDOL(s)	0346607 - 6348072 - 6348083 - B3BH6G8 - B8P3N55	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	ADOPTION OF ANNUAL REPORT AND ACCOUNTS	Management	For	For
2	APPROVAL OF DIRECTORS' REMUNERATION POLICY	Management	For	For
3	APPROVAL OF DIRECTORS' REMUNERATION REPORT	Management	For	For
4	APPROVAL OF FINAL DIVIDEND	Management	For	For
5	ELECTION OF MR EDWARD KNAPP	Management	For	For
6	RE-ELECTION OF MS SARAH ARKLE	Management	For	For
7	RE-ELECTION OF SIR ROGER BONE	Management	For	For
8	RE-ELECTION OF MS FRANCESCA ECSERY	Management	For	For
9	RE-ELECTION OF MR SIMON FRASER	Management	For	For
10	RE-ELECTION OF MR JEFFREY HEWITT	Management	For	For
11	RE-ELECTION OF MR NICHOLAS MOAKES	Management	For	For
12	TO RE-APPOINT ERNST & YOUNG LLP AS AUDITORS	Management	For	For
13	AUTHORITY TO DETERMINE THE AUDITORS REMUNERATION	Management	For	For
14	AUTHORITY TO ALLOT SHARES	Management	For	For
15	DISAPPLICATION OF PRE-EMPTION RIGHTS	Management	For	For
16	AUTHORITY TO BUYBACK SHARES	Management	For	For

City of London Voting Record

PERSHING SQUARE HOLDINGS LTD, ST PETER PORT

Security	G7016V101	Meeting Type	Class Meeting
Ticker Symbol		Meeting Date	25-Apr-2017
ISIN	GG00BPFJTF46	Agenda	707942327 - Management
Record Date	04-Apr-2017	Holding Recon Date	04-Apr-2017
City / Country	ST. / Guernsey PETER PORT	Vote Deadline Date	18-Apr-2017
SEDOL(s)	BPFJTF4 - BRJLBM1 - BS7JCJ8 - BVG1TQ2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	ADOPT NEW ARTICLES OF INCORPORATION	Management	For	For

City of London Voting Record

PERSHING SQUARE HOLDINGS LTD, ST PETER PORT

Security	G7016V101	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	25-Apr-2017
ISIN	GG00BPFJTF46	Agenda	707950083 - Management
Record Date	04-Apr-2017	Holding Recon Date	04-Apr-2017
City / Country	ST / Guernsey PETER PORT	Vote Deadline Date	18-Apr-2017
SEDOL(s)	BPFJTF4 - BRJLBM1 - BS7JCJ8 - BVG1TQ2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management	For	For
2	RATIFY ERNST YOUNG LLP AS AUDITORS	Management	For	For
3	AUTHORISE BOARD TO FIX REMUNERATION OF AUDITORS	Management	For	For
4	RE-ELECT NICHOLAS BOTTA AS DIRECTOR	Management	For	For
5	RE-ELECT RICHARD BATTEY AS DIRECTOR	Management	For	For
6	RE-ELECT WILLIAM SCOTT AS DIRECTOR	Management	For	For
7	RE-ELECT ANNE FARLOW AS DIRECTOR	Management	For	For
8	RE-ELECT LORD JONATHAN KESTENBAUM AS DIRECTOR	Management	For	For
9	AUTHORISE MARKET PURCHASE OF ORDINARY SHARES	Management	For	For
10	ADOPT THE INVESTMENT POLICY	Management	For	For
11	ADOPT NEW ARTICLES OF INCORPORATION	Management	For	For
12	AUTHORISE OFF-MARKET PURCHASE OF B SHARES	Management	For	For
13	AUTHORISE ISSUE OF EQUITY WITHOUT PRE-EMPTIVE RIGHTS	Management	For	For

City of London Voting Record

POLAR CAPITAL GLOBAL FINANCIALS TRUST PLC, LONDON

Security	G7163M102	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	26-Apr-2017
ISIN	GB00B9XQT119	Agenda	707865070 - Management
Record Date		Holding Recon Date	24-Apr-2017
City / Country	LONDON / United Kingdom	Vote Deadline Date	20-Apr-2017
SEDOL(s)	B9XQT11	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE ANNUAL REPORT AND THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 30 NOVEMBER 2016	Management	For	For
2	TO RECEIVE AND APPROVE THE DIRECTORS REMUNERATION POLICY REPORT	Management	For	For
3	TO RECEIVE AND APPROVE THE DIRECTORS REMUNERATION IMPLEMENTATION REPORT	Management	For	For
4	TO RE-APPOINT ROBERT KYPRIANOU AS A DIRECTOR	Management	For	For
5	TO RE-APPOINT JOANNE ELLIOT AS A DIRECTOR	Management	For	For
6	TO RE-APPOINT KATRINA HART AS A DIRECTOR	Management	For	For
7	TO RE-APPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS	Management	For	For
8	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITORS REMUNERATION	Management	For	For
9	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES	Management	For	For
10	TO DISAPPLY PRE-EMPTION RIGHTS	Management	For	For
11	TO AUTHORISE THE COMPANY TO BUY BACK ITS OWN ORDINARY SHARES	Management	For	For
12	TO AUTHORISE THE COMPANY TO BUY BACK ITS OWN SUBSCRIPTION SHARES	Management	For	For

City of London Voting Record

WITAN INVESTMENT TRUST PLC, LONDON

Security	G97249109	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	27-Apr-2017
ISIN	GB0009744060	Agenda	707921234 - Management
Record Date		Holding Recon Date	25-Apr-2017
City / Country	LONDON / United Kingdom	Vote Deadline Date	21-Apr-2017
SEDOL(s)	0974406 - 5848153 - B02RBD8 - B10LJB3	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE ANNUAL REPORT FOR THE YEAR ENDED 31 DECEMBER 2016	Management	For	For
2	TO RECEIVE AND APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 31 DECEMBER 2016, OTHER THAN THE PART CONTAINING THE DIRECTORS' REMUNERATION POLICY	Management	For	For
3	TO ELECT MR J S PERRY AS A DIRECTOR OF THE COMPANY	Management	For	For
4	TO ELECT MR B C ROGOFF AS A DIRECTOR OF THE COMPANY	Management	For	For
5	TO RE-ELECT MR H M HENDERSON AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO RE-ELECT MR R W BOYLE AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO RE-ELECT MR R J OLDFIELD AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO RE-ELECT MR A WATSON AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO APPOINT GRANT THORNTON UK LLP AS STATUTORY AUDITOR TO THE COMPANY	Management	For	For
10	TO AUTHORISE THE AUDIT COMMITTEE TO DETERMINE THE REMUNERATION OF THE STATUTORY AUDITOR	Management	For	For
11	TO AUTHORISE THE DIRECTORS TO ALLOT RELEVANT SECURITIES	Management	For	For
12	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES FOR CASH AND TO SELL SHARES HELD BY THE COMPANY AS TREASURY SHARES ON A NON PRE-EMPTIVE BASIS	Management	For	For
13	TO AUTHORISE THE COMPANY TO MAKE MARKET PURCHASES OF ITS OWN ORDINARY SHARES	Management	For	For
14	TO AUTHORISE THE COMPANY TO MAKE MARKET PURCHASES OF ITS OWN PREFERENCE SHARES	Management	For	For

City of London Voting Record

15	THAT ANY GENERAL MEETING OF THIS COMPANY MAY BE CALLED ON NOT LESS THAN 14 CLEAR DAYS' NOTICE	Management	For	For
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City of London Voting Record

COHEN & STEERS

Security	19249B106	Meeting Type	Annual
Ticker Symbol	MIE	Meeting Date	27-Apr-2017
ISIN	US19249B1061	Agenda	934543237 - Management
Record Date	01-Mar-2017	Holding Recon Date	01-Mar-2017
City / Country	/ United States	Vote Deadline Date	26-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	MICHAEL CLARK	Withheld	Against
	2	BONNIE COHEN	Withheld	Against
	3	DEAN JUNKANS	Withheld	Against
	4	RICHARD E. KROON	Withheld	Against

City of London Voting Record

PIMCO

Security	72202D106	Meeting Type	Annual
Ticker Symbol	PCI	Meeting Date	28-Apr-2017
ISIN	US72202D1063	Agenda	934551323 - Opposition
Record Date	27-Feb-2017	Holding Recon Date	27-Feb-2017
City / Country	/ United States	Vote Deadline Date	27-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 HANS W. KERTESS		Withheld	Against
	2 ALAN RAPPAPORT		Withheld	Against
	3 WILLIAM B. OGDEN, IV		Withheld	Against